## What is Mathematics?

# West Chester University Mathematics Colloquium, 

James Mc Laughlin

West Chester University, PA

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November 1, 2023


## Overview

(1) Purposes of the Talk
(2) What is Mathematics?
(3) The Extent of Modern Mathematics
(4) Learn to Program Using a Computer Algebra System such as Mathematica or Maple
(5) The Mathematical areas in which I do research
(6) Interlude: $q f_{1}^{24}$
(7) Connection to the work on Vanishing Coefficients
(8) Chebyshev polynomials of the Second Kind
(9) Interlude: Some Useful Online Mathematical Resources
(10) Properties of Chebyshev polynomials of the second kind
(11) Applications to the Fourier Coefficients of Hecke Eigenforms


## Alternative Titles

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"Beware The WHAT IS MATHEMATICS? Scam"


## Purposes of the Talk

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(9) To provide an overview of the particular area of mathematics in which I do research.


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(1) To attempt to provide a picture for non-mathematicians of the kinds of things mathematicians spend their time on.
(2) To attempt to provide a picture for students thinking of pursuing a career in mathematics of how mathematicians spend their time.
(3) To demonstrate how most mathematicians work in a very localized area of mathematics.
(9) To provide an overview of the particular area of mathematics in which I do research.
(5) To indicate the importance of computer algebra systems to my own research.


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Useful real-world applications of an area of mathematics may only come many years after the theory has been worked out (if at all).


## What is Mathematics?

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## A (Very) Little Philosophy of Mathematics I

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Mathematical Realism (Wikipedia)

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Observers, including humans, are "self-aware substructures (SASs) In any mathematical structure complex enough to contain such substructures, they "will subjectively perceive themselves as existing in a physically 'real' world."


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' For any such consistent formal system, there will always be statements about natural numbers that are true, but that are unprovable within the system.
' The second incompleteness theorem, an extension of the first, shows that the system cannot demonstrate its own consistency."


## The Extent of Modern Mathematics

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## Mathematics Subject Classification



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The current version is MSC2020.
At the top level, 64 mathematical disciplines are labeled with a unique two-digit number.


## Mathematics Subject Classification - First-level areas I



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General/foundations

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## General/foundations

(1) 00: General (Includes topics such as recreational mathematics, philosophy of mathematics and mathematical modeling.)

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## Mathematics Subject Classification - First-level areas I

## General/foundations

(1) 00: General (Includes topics such as recreational mathematics, philosophy of mathematics and mathematical modeling.)
(2) 01: History and biography
(3) 03: Mathematical logic and foundations, including model theory. computability theory. set theory. proof theory. and algebraic logic


## Mathematics Subject Classification - First-level areas II



## Mathematics Subject Classification - First-level areas II

Discrete mathematics/algebra


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Discrete mathematics/algebra
(1) 05: Combinatorics


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(2) 06: Order theory


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(3) 08: General algebraic systems
(9) 11: Number theory


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(1) 05: Combinatorics
(2) 06: Order theory
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(9) 11: Number theory
(6) 12: Field theory and polynomials


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(0) 16: Associative rings and associative algebras


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(1) 17: Non-associative rings and non-associative algebras


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(- 16: Associative rings and associative algebras
(10) 17: Non-associative rings and non-associative algebras
(1) 18': Category theory: homological algebra


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(1) 18': Category theory: homological algebra
(13) 19: K-theory


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(10) 17: Non-associative rings and non-associative algebras
(1) 18': Category theory: homological algebra
(13) 19: K-theory
(3) 20: Group theory and generalizations


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(10) 17: Non-associative rings and non-associative algebras
(1) 18': Category theory: homological algebra
(13) 19: K-theory
(3) 20: Group theory and generalizations
(44) 22: Topological groups, Lie groups, and analysis upon them


## Mathematics Subject Classification - First-level areas III



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## Analysis



## Mathematics Subject Classification - First-level areas III

## Analysis

(1) 26: Real functions, including derivatives and integrals


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(2) 28: Measure and integration


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(2) 28: Measure and integration
(3) 30: Complex functions, including approximation theory in the complex domain

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(6) 32: Several complex variables and analytic spaces


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(8) 35: Partial differential equations


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(1) 34: Ordinary differential equations
(3) 35: Partial differential equations
(0) 37: Dynamical systems and ergodic theory


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(10) 39: Difference equations and functional equations


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(1) 34: Ordinary differential equations
(3) 35: Partial differential equations
(0) 37: Dynamical systems and ergodic theory
(10) 39: Difference equations and functional equations
(1) 40: Sequences, series, summability


## Mathematics Subject Classification - First-level areas IV



## Mathematics Subject Classification - First-level areas IV

Analysis, continued

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## Analysis, continued

(1) 41: Approximations and expansions


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## Analysis, continued

(1) 41: Approximations and expansions
(2) 42: Harmonic analysis, including Fourier analysis, Fourier transforms, trigonometric approximation, trigonometric interpolation, and orthogonal functions


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(1) 41: Approximations and expansions
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(3) 43: Abstract harmonic analysis


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(3) 47: Operator theory


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(1) 44: Integral transforms, operational calculus
(3) 45: Integral equations
(0) 46: Functional analysis, including infinite-dimensional holomorphy, integral transforms in distribution spaces
(3) 47: Operator theory
(8) 49: Calculus of variations and optimal control: optimization (including geometric integration theory)


## Mathematics Subject Classification - First-level areas V



## Mathematics Subject Classification - First-level areas V

Geometry and topology


## Mathematics Subject Classification - First-level areas V

Geometry and topology
(1) 51: Geometry


## Mathematics Subject Classification - First-level areas V

## Geometry and topology

(1) 51: Geometry
(2) 52: Convex geometry and discrete geometry


## Mathematics Subject Classification - First-level areas V

## Geometry and topology

(1) 51: Geometry
(2) 52: Convex geometry and discrete geometry
(3) 53: Differential geometry


## Mathematics Subject Classification - First-level areas V

## Geometry and topology

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(2) 52: Convex geometry and discrete geometry
(3) 53: Differential geometry
(c) 54: General topology


## Mathematics Subject Classification - First-level areas V

## Geometry and topology

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(1) 51: Geometry
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(0) 57: Manifolds


## Mathematics Subject Classification - First-level areas V

## Geometry and topology

(1) 51: Geometry
(2) 52: Convex geometry and discrete geometry
(3) 53: Differential geometry
(3) 54: General topology
(3) 55: Algebraic topology
(1) 57: Manifolds
(3) 58: Global analysis, analysis on manifolds (Including Infinite-dimensional holomorphy)


## Mathematics Subject Classification - First-level areas VI



## Mathematics Subject Classification - First-level areas VI

Applied mathematics / other

## Mathematics Subject Classification - First-level areas VI

Applied mathematics / other
(1) 60 Probability theory and stochastic processes


## Mathematics Subject Classification - First-level areas VI

Applied mathematics / other
(1) 60 Probability theory and stochastic processes
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Applied mathematics / other
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Applied mathematics / other
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(2) 62 Statistics
(3) 65 Numerical analysis
(c) 68 Computer science


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Applied mathematics / other
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(5) 70 Mechanics (Including particle mechanics)

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Applied mathematics / other
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(3) 65 Numerical analysis
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(1) 60 Probability theory and stochastic processes
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(0) 74 Mechanics of deformable solids
(3) 76 Fluid mechanics


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(3) 76 Fluid mechanics
(8) 78 Optics, electromagnetic theory


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(10) 81 Quantum theory
(1) 82 Statistical mechanics, structure of matter


## Mathematics Subject Classification - First-level areas VII

## Mathematics Subject Classification - First-level areas VII

Applied mathematics / other, continued


## Mathematics Subject Classification - First-level areas VII

Applied mathematics / other, continued
(1) 83 Relativity and gravitational theory. Including relativistic mechanics


## Mathematics Subject Classification - First-level areas VII

Applied mathematics / other, continued
(1) 83 Relativity and gravitational theory. Including relativistic mechanics
(2) 85 Astronomy and astrophysics


## Mathematics Subject Classification - First-level areas VII

Applied mathematics / other, continued
(1) 83 Relativity and gravitational theory. Including relativistic mechanics
(2) 85 Astronomy and astrophysics
(3) 86 Geophysics


## Mathematics Subject Classification - First-level areas VII

Applied mathematics / other, continued
(1) 83 Relativity and gravitational theory. Including relativistic mechanics
(2) 85 Astronomy and astrophysics
(3) 86 Geophysics
(3) 90 Operations research, mathematical programming


## Mathematics Subject Classification - First-level areas VII

Applied mathematics / other, continued
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(2) 85 Astronomy and astrophysics
(3) 86 Geophysics
(9) 90 Operations research, mathematical programming
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## The Complete MSC

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Most Mathematics PhD granting institutions have some program to connect students with an area of mathematics that matches their interests.

## Learn to Program Using a Computer Algebra System such as Mathematica or Maple

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- figuring out how to prove any new discoveries you might make is fun
- collaborating with other people on math projects is fun


An Example from my own Research - $q$-products and $q$-series

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 $q$-seriesI next give an example of how being able to program in Mathematica has helped me in my own research.


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The series expansion for $f_{1}$ :


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The series expansion for $f_{1}$ :

$$
\begin{gathered}
f_{1}=(q ; q)_{\infty}=1-q-q^{2}+q^{5}+q^{7}-q^{12}-q^{15}+q^{22}+q^{26} \\
-q^{35}-q^{40}+q^{51}+q^{57}-q^{70}-q^{77}+q^{92}+q^{100} \\
-q^{117}-q^{126}+q^{145}+q^{155}-q^{176}-q^{187} \ldots
\end{gathered}
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Notice that the coefficients of most powers of $q$ are zero.


## $q$-products Continued

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The list of coefficients:

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$$
\begin{aligned}
& 1,-1,-1,0,0,1,0,1,0,0,0,0,-1,0,0,-1,0,0,0,0,0,0,1,0,0,0,1,0,0 \\
& 0,0,0,0,0,0,-1,0,0,0,0,-1,0,0,0,0,0,0,0,0,0,0,1,0,0,0,0,0,1,0 \\
& 0,0,0,0,0,0,0,0,0,0,0,-1,0,0,0,0,0,0,-1,0,0,0,0,0,0,0,0,0,0,0 \\
& 0,0,0,1,0,0,0,0,0,0,0,1,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,-1,0 \\
& 0,0,0,0,0,0,0,-1,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,1,0,0,0 \\
& 0,0,0,0,0,0,1,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,-1,0,0,0 \\
& 0,0,0,0,0,0,0,-1, \ldots
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$$



## $q$-products Continued

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& 0,0,0,0,0,0,0,0,0,0,0,-1,0,0,0,0,0,0,-1,0,0,0,0,0,0,0,0,0,0,0 \\
& 0,0,0,1,0,0,0,0,0,0,0,1,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,-1,0 \\
& 0,0,0,0,0,0,0,-1,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,0,1,0,0,0 \\
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& 0,0,0,0,0,0,0,-1, \ldots
\end{aligned}
$$

The series $\sum_{n=0}^{\infty} c(n) q^{n}$ is lacunary if

$$
\lim _{x \rightarrow \infty} \frac{|\{0 \leq n \leq x \mid c(n)=0\}|}{x}=1
$$



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For odd positive integers $s$ it is known that $f_{1}^{s}$ lacunary for $s=1$ and $s=3$, but nothing that is conclusive is known.

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An eta quotient is a finite product of the form $\prod_{j} f_{j}^{n_{j}}$, for some integers $j \in \mathbb{N}$ and $n_{j} \in \mathbb{Z}$.

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One could also ask questions about which of these more general eta quotients are lacunary.


## A Result of Han and Ono

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Define the sequences $\{a(n)\}$ and $\{b(n)\}$ by

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(Han and Ono, 2011)

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Define the sequences $\{a(n)\}$ and $\{b(n)\}$ by

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\begin{equation*}
f_{1}^{8}=: \sum_{n=0}^{\infty} a(n) q^{n}, \quad \frac{f_{3}^{3}}{f_{1}}=: \sum_{n=0}^{\infty} b(n) q^{n} . \tag{1}
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(Han and Ono, 2011) Assuming the notation above, we have that

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a(n)=0 \Longleftrightarrow b(n)=0 \tag{2}
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Moreover, we have that $a(n)=b(n)=0$ precisely for those non-negative $n$ for which $3 n+1$ has a prime factor $p$ of the form $p=3 k+2$ with odd exponent for some integer $k$.

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In other words, if the prime factorization of $3 n+1$ has the form $3 n+1=\ldots p^{2 r+1} \ldots$ for some integer $r \geq 0$, then $a(n)=b(n)=0$, and $a(n) \neq 0, b(n) \neq 0$ otherwise.

## The Result of Han and Ono in More Detail

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$$
\begin{aligned}
f_{1}^{8}=1-8 q+20 q^{2} & -70 q^{4}+64 q^{5}+56 q^{6}-125 q^{8}-160 q^{9}+308 q^{10} \\
& +110 q^{12}-520 q^{14}+57 q^{16}+560 q^{17}+182 q^{20}+\ldots,
\end{aligned}
$$

Notice that the two series vanish for the same powers of $q$, namely $q^{n}$ with $n=3,7,11,13,15,18,19$

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& \frac{f_{3}^{3}}{f_{1}}=1+q+2 q^{2}+ 2 q^{4}+q^{5}+2 q^{6}+q^{8}+2 q^{9}+2 q^{10}+2 q^{12} \\
&+2 q^{14}+3 q^{16}+2 q^{17}+2 q^{20}+\ldots
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Notice that the two series vanish for the same powers of $q$, namely $q^{n}$ with $n=3,7,11,13,15,18,19 \ldots$


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Further, for any $n$ in this list, $3 n+1$ has a prime factor $p$ of the form $p=3 k+2$ with odd exponent.
(For example, for $n=11,3 n+1=3(11)+1=34=2\left(17^{1}\right)$ and $17=3(5)+2$.)


Series with identically vanishing coefficients


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Theorem 1 motivated the speaker to investigate experimentally if similar results held for other pairs of eta quotients.


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Theorem 1 motivated the speaker to investigate experimentally if similar results held for other pairs of eta quotients.
This was done using some simple Mathematica programs (next slides).


## Some Mathematica Code - I

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Recall the notation,

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We search for eta quotients $f_{1}^{t} f_{i}^{j} f_{k}^{\prime} f_{m}^{n} f_{r}^{s} f_{u}^{v}$ with coefficients that vanish identically with, say, $f_{1}^{6}$

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$$
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Clear[i, j, k, l, m, n, r, s, u, v, t,f,q,a]
$\mathrm{f}\left[\mathbf{a}_{-}\right]=\mathbf{Q P o c h h a m m e r}\left[q^{a}, q^{a}\right]$;
pra $=f[1]^{6}$;
Isprc $=\{$ pra $\}$;
Isprd $=\{ \}$;
cla $=$ CoefficientList[Series[pra, $\{\mathbf{q}, \mathbf{0}, 60\}]$, q]; posa $=$ Intersection[Flatten[Position[cla, 0]], Range[50]];


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The list posa contains the positions of the vanishing coefficients in the series expansion of $f_{1}^{6}$, up to $q^{50}$.


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Next a set of For[] loops that the integer variable $i, j, k, I, m, n, r, s, t, u, v$ cycle through to produce the eta quotients $f_{1}^{t} f_{i}^{j} f_{k}^{\prime} f_{m}^{n} f_{r}^{s} f_{u}^{v}$ :

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For $[\mathbf{t}=-\mathbf{p l i m}, \mathbf{t} \leq$ plim, $\mathbf{t + +}$,
For $[\mathbf{j}=-\operatorname{plim}, \mathbf{j} \leq \operatorname{plim}, \mathbf{j}++$,
For $[i=2, i \leq \lim -4, i++$,
For $[k=i+1, k \leq \lim -3, k++$,
For $[\mathrm{I}=-\mathrm{plim}, \mathrm{I} \leq$ plim, $\mathrm{I}++$,
For $[\mathbf{m}=\mathbf{k}+\mathbf{1 , m} \leq \lim -\mathbf{2}, \mathbf{m}++$,
For $[r=m+1, r \leq \lim -1, r++$,
For $[s=-p l i m, s \leq p l i m, s++$,
For $[\mathbf{u}=\mathbf{r}+\mathbf{1}, \mathbf{u} \leq \lim , \mathbf{u}++$,
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& \text { For }[k=\mathbf{i}+1, k \leq \lim -3, k++ \text {, } \\
& \text { For }[\mathrm{I}=-\mathrm{plim}, \mathrm{I} \leq \text { plim, } \mathrm{I}++ \text {, } \\
& \text { For }[\mathbf{m}=\mathbf{k}+\mathbf{1}, \mathbf{m} \leq \lim -2, \mathbf{m}++ \text {, } \\
& \text { For }[r=m+1, r \leq \lim -1, r++ \text {, } \\
& \text { For }[\mathrm{s}=-\mathrm{plim}, \mathrm{~s} \leq \mathrm{plim}, \mathrm{~s}++ \text {, } \\
& \text { For }[\mathbf{u}=\mathbf{r}+\mathbf{1 , u} \leq \lim , \mathbf{u}++ \text {, } \\
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(what happens inside the "For" loops - next slide)


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];;;;;;;;;;;;;;;;;


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```
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If[posa == posb, Isprc = Append[lsprc, prb];];


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So the eta quotients prb gets added to the list Isprc if it appears that its coefficients vanish identically with those of $f_{1}^{6}$,


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posb $=$ Intersection[Flatten[Position[clb, 0]], Range[50]];
If[posa == posb, Isprc = Append[lsprc, prb];];
If[SubsetQ[posb, posa] \&\& (! SubsetQ[posa, posb]), Isprd = Append[lisprd, prb];];
So the eta quotients prb gets added to the list Isprc if it appears that its coefficients vanish identically with those of $f_{1}^{6}$, and gets added to the list Isprd if it appears that the vanishing coefficients of $f_{1}^{6}$ are a proper subset of the vanishing coefficients of prb.


## Experimental Results

What was discovered as a result of these computer algebra experiments is summarized as follows.

## Other eta quotients with identically vanishing coefficients I

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Let $(A(q), B(q))$ be any of the pairs

$$
\begin{align*}
&\left\{\left(f_{1}^{4}, \frac{f_{1}^{8}}{f_{2}^{2}}\right),\left(f_{1}^{4}, \frac{f_{1}^{10}}{f_{3}^{2}}\right),\left(f_{1}^{6}, \frac{f_{2}^{4}}{f_{1}^{2}}\right),\left(f_{1}^{6}, \frac{f_{1}^{14}}{f_{2}^{4}}\right),\right. \\
&\left.\left(f_{1}^{10}, \frac{f_{2}^{6}}{f_{1}^{2}}\right),\left(f_{1}^{14}, \frac{f_{3}^{5}}{f_{1}}\right),\left(f_{1}^{14}, \frac{f_{2}^{8}}{f_{1}^{2}}\right)\right\} . \tag{3}
\end{align*}
$$



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\end{align*}
$$

For any such pair $(A(q), B(q))$, define the sequences $\{a(n)\}$ and $\{b(n)\}$ by

$$
\begin{equation*}
A(q)=: \sum_{n=0}^{\infty} a(n) q^{n}, \quad B(q)=: \sum_{n=0}^{\infty} b(n) q^{n} \tag{4}
\end{equation*}
$$

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A(q)=: \sum_{n=0}^{\infty} a(n) q^{n}, \quad B(q)=: \sum_{n=0}^{\infty} b(n) q^{n}
$$

Then, for each pair, $a(n)=0 \Longleftrightarrow b(n)=0$, with criteria for when exactly this happens.


## Other eta quotients with identically vanishing coefficients II

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For the pairs

$$
\begin{equation*}
\left\{\left(f_{1}^{26}, \frac{f_{3}^{9}}{f_{1}}\right),\left(f_{1}^{26}, \frac{f_{2}^{16}}{f_{1}^{6}}\right)\right\} \tag{5}
\end{equation*}
$$

## Other eta quotients with identically vanishing coefficients II

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$$
\begin{equation*}
\left\{\left(f_{1}^{26}, \frac{f_{3}^{9}}{f_{1}}\right),\left(f_{1}^{26}, \frac{f_{2}^{16}}{f_{1}^{6}}\right)\right\} \tag{5}
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$$

$a(n)=b(n)=0$ if $12 n+13$ satisfies a criteria of Serre for $a(n)=0$.


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$$
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$$

$a(n)=b(n)=0$ if $12 n+13$ satisfies a criteria of Serre for $a(n)=0$. How to prove these results on identically vanishing coefficients?


Aside: other infinite products with vanishing coefficients I


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## Consider

$$
\begin{aligned}
& \prod_{n=0}^{\infty} \frac{\left(1-q^{8 n+1}\right)\left(1-q^{8 n+7}\right)}{\left(1-q^{8 n+3}\right)\left(1-q^{8 n+5}\right)}=1-q+q^{3}-q^{4}+q^{5}-2 q^{7}+2 q^{8}-q^{9} \\
& \quad+2 q^{11}-3 q^{12}+2 q^{13}-2 q^{15}+4 q^{16}-4 q^{17}+4 q^{19}-6 q^{20}+5 q^{21} \\
& \quad-6 q^{23}+9 q^{24}-6 q^{25}+7 q^{27}-12 q^{28}+9 q^{29}+\cdots=: \sum_{n=0}^{\infty} a_{n} q^{n}
\end{aligned}
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## Aside: other infinite products with vanishing coefficients I

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List of coefficients:

$$
\begin{gathered}
1,-1,0,1,-1,1,0,-2,2,-1,0,2,-3,2,0,-2,4,-4,0,4,-6,5 \\
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The list of $n$ such that $a_{n}=0$ :

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$$
2,6,10,14,18,22,26,30, \ldots=\{4 n+2 \mid n \geq 0\}
$$

Alladi, Andrews, and others have worked on such infinite products.

## Aside: other infinite products with vanishing coefficients II

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f_{1}^{8}= & \prod_{n=0}^{\infty}\left(1-q^{n}\right)^{8}=1-8 q+20 q^{2}-70 q^{4}+64 q^{5}+56 q^{6}-125 q^{8} \\
& -160 q^{9}+308 q^{10}+110 q^{12}-520 q^{14}+57 q^{16}+560 q^{17} \\
& +182 q^{20}+\cdots=: \sum_{n=0}^{\infty} b_{n} q^{n}
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$$

The list of $n$ such that $b_{n}=0$ :

$$
\begin{gathered}
3,7,11,13,15,18,19,23,27,28,29,31,35,38,39,43,45,47, \\
48,51,53,55,59,61,62,63,67,68,71,73,75,77,78,79 \\
83,84,87,88,91,93,95,98,99, \ldots
\end{gathered}
$$

## Other eta quotients with identically vanishing coefficients III

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First thought on seeing the list of numbers on the previous slide:


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Aside: The results above on identically vanishing coefficients appear to be just "the tip of the iceberg".

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This research is described more fully in the second talk next week, with most of the remainder of this talk being a description of some more elementary work that arose as a side project to the above work.


## The Mathematical areas in which I do research.

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## Research Areas - Continued Fractions I

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Definition: continued fractions:


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b_{0}+K_{n=1}^{\infty} \frac{a_{n}}{b_{n}}:=b_{0}+\frac{a_{1}}{b_{1}+\frac{a_{2}}{b_{2}+\frac{a_{3}}{b_{3}+\ddots}}}
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What does it mean for an infinite object such as a continued fraction to have a value?

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f_{n}:=b_{0}+\frac{a_{1}}{b_{1}}+\frac{a_{2}}{b_{2}}+\frac{a_{3}}{b_{3}}+\cdots+\frac{a_{n}}{b_{n}}=: \frac{A_{n}}{B_{n}} .
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$b_{0}+K_{n=1}^{\infty} \frac{a_{n}}{b_{n}}$ converges if the sequence $\left\{f_{n}\right\}$ converges.

## Research Areas - Continued Fractions II

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Regular continued fraction expansions:

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$$
b_{0}+\frac{1}{b_{1}+\frac{1}{b_{2}+\frac{1}{b_{3}+\ddots}}}=b_{0}+K_{n=1}^{\infty} 1 / b_{n}:=\left[b_{0} ; b_{1}, b_{2}, b_{3}, \ldots\right]
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## Research Areas - Continued Fractions II

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Here the $b_{i}$ 's are integers and all, except possibly $b_{0}$ are positive integers.

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## Examples:

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\pi=[3 ; 7,15,1,292,1,1,1,2,1,3,1,14,2,1,1,2,2,2,2, \ldots]
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& e=[2 ; 1,2,1,1,4,1,1,6,1,1,8,1,1,10,1,1,12,1, \ldots]
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## Research Areas - Continued Fractions III

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\end{gathered}
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Example:

$$
\left(q^{2} ; q^{5}\right)_{\infty}=\left(1-q^{2}\right)\left(1-q^{7}\right)\left(1-q^{12}\right)\left(1-q^{17}\right) \ldots .
$$

## Research Areas - Continued Fractions IV

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## Example 1.

## Research Areas - Continued Fractions IV

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$$



## Research Areas - Continued Fractions IV

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If $|q|>1$ the sequences of odd- and even-indexed approximants converge to different limits.

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If $K_{n}(q)$ denotes the $n$-th approximant of $K(q)$,


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If $|q|>1$ the sequences of odd- and even-indexed approximants converge to different limits. .
If $K_{n}(q)$ denotes the $n$-th approximant of $K(q)$, then

$$
\begin{aligned}
\lim _{j \rightarrow \infty} K_{2 j+1}(q) & =\frac{1}{K(-1 / q)} \\
\lim _{j \rightarrow \infty} K_{2 j}(q) & =\frac{K\left(1 / q^{4}\right)}{q}
\end{aligned}
$$



## Research Areas - Continued Fractions V

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What if $|q|=1$ ?

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## Research Areas - Continued Fractions $\vee$

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Schur also gave an explicit formula for the value of $K(q)$ when $5 \nmid n$. What if $|q|=1$ but $q$ is not a root of unity?

This was an open question until my thesis, where I showed the existence of an uncountable set of points (of measure 0) for which $K(q)$ diverges.


## Research Areas - Continued Fractions VI

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Example. Let $t=\left[0, a_{1}, a_{2}, \cdots\right]$,

## Research Areas - Continued Fractions VI

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where $a_{i}$ is the integer consisting of a tower of $i$ twos with an $i$ an top.


## Research Areas - Continued Fractions VI

Example. Let $t=\left[0, a_{1}, a_{2}, \cdots\right]$,
where $a_{i}$ is the integer consisting of a tower of $i$ twos with an $i$ an top.

$$
t=\left[0,2,2^{2^{2}}, 2^{2^{2^{3}}}, \cdots\right]
$$

0.4848484848484848484848484848484

84848484848484848484848484848484
84848484848484849277885083112437
522992318812011


## Research Areas - Continued Fractions VI

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$$
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$$

0.4848484848484848484848484848484

84848484848484848484848484848484 84848484848484849277885083112437 $522992318812011 \ldots$

## Research Areas - Continued Fractions VI

Example. Let $t=\left[0, a_{1}, a_{2}, \cdots\right]$,
where $a_{i}$ is the integer consisting of a tower of $i$ twos with an $i$ an top.

$$
\begin{aligned}
& t=\left[0,2,2^{2^{2}}, 2^{2^{2^{3}}}, \cdots\right]= \\
& 0.4848484848484848484848484848484 \\
& 84848484848484848484848484848484 \\
& 84848484848484849277885083112437 \\
& 522992318812011 \cdots
\end{aligned}
$$

If $y=\exp (2 \pi i t)$ then $K(y)$ diverges.

## Research Areas - Continued Fractions VII

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D. H. Lehmer:

$$
[0 ; a, a+c, a+2 c, a+3 c, \cdots],
$$

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[0 ; a, a+c, a+2 c, a+3 c, \cdots]
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Example:

$$
[1 ; 2,3,4,5, \cdots]=\frac{\sum_{m=0}^{\infty} \frac{1}{(m!)^{2}}}{\sum_{m=0}^{\infty} \frac{1}{m!(m+1)!}}
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## Research Areas - Continued Fractions VII

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$$

Komatsu:

$$
\begin{aligned}
{\left[0, \overline{a^{k}}\right]_{k=1}^{\infty}:=} & {\left[0 ; a, a^{2}, a^{3}, a^{4}, \cdots\right.} \\
& =\frac{\sum_{s=0}^{\infty} a^{-(s+1)^{2}} \prod_{i=1}^{s}\left(a^{2 i}-1\right)^{-1}}{\sum_{s=0}^{\infty} a^{-s^{2}} \prod_{i=1}^{s}\left(a^{2 i}-1\right)^{-1}} .
\end{aligned}
$$

## Research Areas - Continued Fractions VIII

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(Mc L. 2008) (1) Let $a, b, p, u$ and $v$ be integers restricted in the case of the continued fraction below so that the partial quotients are all positive.

## Research Areas - Continued Fractions VIII

(Mc L. 2008) (1) Let $a, b, p, u$ and $v$ be integers restricted in the case of the continued fraction below so that the partial quotients are all positive. Then

$$
[0 ; p-1, \overline{1,(4 n+1) u-1, p,(4 n+3) v-1,1, p-2}]_{n=0}^{\infty}
$$



## Research Areas - Continued Fractions VIII

(Mc L. 2008) (1) Let $a, b, p, u$ and $v$ be integers restricted in the case of the continued fraction below so that the partial quotients are all positive. Then

$$
\left.\begin{array}{rl}
{[0 ; p-1, \overline{1,(4 n+1) u-1, p,(4 n+3) v-1},} & 1, p-2
\end{array}\right]_{n=0}^{\infty} .
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## Research Areas - Continued Fractions VIII

(Mc L. 2008) (1) Let $a, b, p, u$ and $v$ be integers restricted in the case of the continued fraction below so that the partial quotients are all positive. Then

$$
\left.\begin{array}{rl}
{[0 ; p-1, \overline{1,(4 n+1) u-1, p,(4 n+3) v-1},} & 1, p-2
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\begin{aligned}
{\left[0 ; \overline{e u^{n}, f v^{n}}\right]_{n=1}^{\infty}=} & \left(\frac{1}{e u}-\frac{1}{e^{2} f u^{2} v+e}\right) \\
& \times \frac{\sum_{n=0}^{\infty} \frac{(e f)^{-n}(u v)^{-n(n+3) / 2}}{(1 / u v ; 1 / u v)_{n}\left(-1 / e f u^{3} v^{2} ; 1 / u v\right)_{n}}}{\sum_{n=0}^{\infty} \frac{(e f)^{-n}(u v)^{-n(n+1) / 2}}{(1 / u v ; 1 / u v)_{n}\left(-1 / e f u^{2} v ; 1 / u v\right)_{n}}} .
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## Research Areas - Continued Fractions IX

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$$
\begin{array}{r}
\sum_{n \geq 0} \frac{1}{T_{4^{n}}(2)}=[0 ; 1,1,23,1,2,1,18815,3,1,23,3,1,23,1,2,1 \\
106597754640383,3,1,23,1,3,23,1,3,18815 \\
1,2,1,23,3,1,23, \cdots]
\end{array}
$$

$T_{l}(x)$ being the $l$-th Chebyshev polynomial of the first kind.


## Research Areas - Continued Fractions X

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Specialization likewise led to results such as

$$
\prod_{j=0}^{\infty}\left(1+\frac{1}{T_{6^{j}}(3)}\right)=
$$

$[1 ; 2,1,1632,1,2,1,3542435884041835200,1,2,1,1632,1,2,1$,
26029539217771234538544216588488566196402655804477165253 9336341222077618284068468732496046837200411447595913600 , $1,2,1,1632,1,2,1,3542435884041835200,1,2,1,1632,1,2,1, \ldots]$.

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\begin{aligned}
\{1,1\},\{2,2\},\{3,3\}, & \{4,5\},\{5,7\}, \\
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$\{10,42\},\{100,190569292\}$, $\{1000,24061467864032622473692149727991\}$,
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We recall also that

$$
I_{\nu}(z)=\sum_{m=0}^{\infty} \frac{\left(\frac{1}{2} z\right)^{\nu+2 m}}{m!\Gamma(\nu+m+1)}
$$

denotes the modified Bessel function of the first kind.


## Research Areas - Integer Partitions III

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## Theorem

(Rademacher) If $n$ is a positive integer, then

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p(n)=\frac{2 \pi}{(24 n-1)^{3 / 4}} \sum_{k=1}^{\infty} \frac{A_{k}(n)}{k} I_{3 / 2}\left(\frac{\pi}{k} \sqrt{\frac{2}{3}\left(n-\frac{1}{24}\right)}\right) . \tag{8}
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The idea of course is that if a partial sum is known to be within 0.5 of the value of the series, then the nearest integer gives the exact value of $p(n)$.

Research Areas - Integer Partitions IV


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$$
R:=\frac{(r-1)(s-1)}{24}, \quad \delta_{k}:=\frac{\left(r / r_{k}-r_{k}\right)\left(s / s_{k}-s_{k}\right)}{24}
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## Research Areas - Integer Partitions V

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$$
\frac{F\left(\frac{H_{h, k}}{k}+\frac{i}{z}\right) F\left(\frac{H_{h r s} /\left(r_{r^{\prime}} s_{k}\right), k /\left(r_{k} s_{k}\right)}{k /\left(r_{k} s_{k}\right)}+\frac{i r_{k}^{2} s_{k}^{2}}{r s z}\right)}{F\left(\frac{H_{h r / r_{k}, k / r_{k}}}{k / r_{k}}+\frac{i r_{k}^{2}}{r z}\right) F\left(\frac{H_{h s / s_{k}, k / s_{k}}^{k / s_{k}}}{r z}+\frac{i s_{k}^{2}}{s z}\right)}:=\sum_{m=0}^{\infty} c_{m}(h, k) \exp \left(\frac{-2 \pi m r_{k} s_{k}}{r s z}\right) .
$$

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If $n>R$, then
$p_{r, s}(n)=\sum_{k=1}^{\infty} \sum_{m=0}^{\left\lfloor\delta_{k}\right\rfloor} \frac{2 \pi A_{k, m}(n)}{k} \sqrt{\frac{r_{k} s_{k}\left(\delta_{k}-m\right)}{r s(n-R)}} I_{1}\left(\frac{4 \pi}{k} \sqrt{\frac{r_{k} s_{k}}{r s}\left(\delta_{k}-m\right)(n-R)}\right)$,

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where
$A_{k, m}(n):=\sum_{\substack{h=0 \\(h, k)=1}}^{k-1} \frac{\omega(h, k) \omega\left(h r s /\left(r_{k} s_{k}\right), k /\left(r_{k} s_{k}\right)\right)}{\omega\left(h r / r_{k}, k / r_{k}\right) \omega\left(h s / s_{k}, k / s_{k}\right)} c_{m}(h, k) \exp \left(\frac{-2 \pi i n h}{k}\right)$.

Research Areas - Integer Partitions VI


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As an example, we consider the convergence of the sum of the series to

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by examining the difference $p_{14,15}(500)-S_{N}$, where $S_{N}$ is the $N$ th partial sum of the series.

Research Areas - Integer Partitions VII


## Research Areas - Integer Partitions VII

| $N$ | $S_{N}$ | $p_{14,15}(500)-S_{N}$ |
| :---: | :---: | :---: |
|  |  |  |
| 1 | 310093947025049932429.8505 | $-2.374319315 \times 10^{7}$ |
| 2 | 310093947025073675628.9283 | 5.9283 |
| 3 | 310093947025073675414.3591 | -208.6409 |
| 4 | 310093947025073675623.3258 | 0.3258 |
| 5 | 310093947025073675623.3258 | 0.3258 |
| 6 | 310093947025073675623.3723 | 0.3723 |
| 7 | 310093947025073675623.3723 | 0.3723 |
| 8 | 310093947025073675623.3723 | 0.3723 |
| 9 | 310093947025073675623.2793 | 0.2793 |
| 10 | 310093947025073675623.2793 | 0.2793 |
| 11 | 310093947025073675623.4447 | 0.4447 |

Table: The fast initial convergence of the series for $p_{14,15}(500)$.

Research Areas - $q$-Series I

## Research Areas - q-Series I

The "famous" Rogers-Ramanujan identities:


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\begin{align*}
& \sum_{n=0}^{\infty} \frac{q^{n^{2}}}{(q ; q)_{n}}=\prod_{j=0}^{\infty} \frac{1}{\left(1-q^{5 j+1}\right)\left(1-q^{5 j+4}\right)}  \tag{10}\\
& \sum_{n=0}^{\infty} \frac{q^{n^{2}+n}}{(q ; q)_{n}}=\prod_{j=0}^{\infty} \frac{1}{\left(1-q^{5 j+2}\right)\left(1-q^{5 j+3}\right)} \tag{11}
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A good deal of research in basic hypergeometric series involves "infinite series $=$ infinite product" as above, and various ways of producing and proving these.

Lucy Slater (1952) gave a list of 130 similar identities.
Other work involves identities of the type

$$
\text { "infinite series }{ }_{1}=\text { infinite product } \times \text { infinite series }_{2} \text { ". }
$$



Research Areas - q-Series II

## Research Areas - $q$-Series II

1. Using Bailey pairs (with Doug Bowman and Andrew Sills, 2009)

$$
\begin{align*}
& 1+\sum_{n=1}^{\infty} \frac{q^{n^{2}}\left(-q^{3} ; q^{3}\right)_{n-1}}{(-q ; q)_{n}(q ; q)_{2 n-1}}=\frac{1}{(q ; q)_{\infty}}\left(\left(q^{12}, q^{15}, q^{27} ; q^{27}\right)_{\infty}\right. \\
& \left.-2 q^{2}\left(-q^{33},-q^{75}, q^{108} ; q^{108}\right)_{\infty}+2 q^{7}\left(-q^{15},-q^{93}, q^{108} ; q^{108}\right)_{\infty}\right) \tag{12}
\end{align*}
$$

Research Areas - q-Series III

## Research Areas - $q$-Series III

2. Using mock theta functions:

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$$
\begin{aligned}
& \sum_{r=-\infty}^{\infty}(10 r+1) q^{\left(5 r^{2}+r\right) / 2} \\
& \quad=\left(\frac{4 q\left(q^{4}, q^{16}, q^{20} ; q^{20}\right)_{\infty}}{\left(q^{2} ; q^{4}\right)_{\infty}}+\frac{\left(q^{2}, q^{3}, q^{5} ; q^{5}\right)_{\infty}}{(-q ; q)_{\infty}}\right) \frac{(q ; q)_{\infty}^{2}}{(-q ; q)_{\infty}}
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& \sum_{r=-\infty}^{\infty}(10 r+3) q^{\left(5 r^{2}+3 r\right) / 2} \\
& \quad=\left(\frac{4\left(q^{8}, q^{12}, q^{20} ; q^{20}\right)_{\infty}}{\left(q^{2} ; q^{4}\right)_{\infty}}-\frac{\left(q, q^{4}, q^{5} ; q^{5}\right)_{\infty}}{(-q ; q)_{\infty}}\right) \frac{(q ; q)_{\infty}^{2}}{(-q ; q)_{\infty}}
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Research Areas - q-Series IV


## Research Areas - q-Series IV

3. Continued Fractions

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& \sum_{n=0}^{\infty} \frac{q^{n^{2}+2 m n}}{\left(q^{4} ; q^{4}\right)_{n}} \\
& =(-1)^{m-1}\left[\frac{a_{m}(q)}{\left(q^{2}, q^{3} ; q^{5}\right)_{\infty}\left(-q^{2} ; q^{2}\right)_{\infty}}-\frac{b_{m}(q)}{\left(q, q^{4} ; q^{5}\right)_{\infty}\left(-q^{2} ; q^{2}\right)_{\infty}}\right] \tag{13}
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a_{m}(q)=\sum_{n, j} q^{n^{2}}(-1)^{j}\left[\begin{array}{c}
m-1-j \\
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n+j \\
j
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n+j \\
j
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& b_{m}(q)=\sum_{n, j} q^{n^{2}+2 n}(-1)^{j}\left[\begin{array}{c}
m-2-j \\
n
\end{array}\right]_{q^{2}}\left[\begin{array}{c}
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j
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\end{aligned}
$$



Research Areas - q-Series V

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## Research Areas - $q$-Series $V$

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$$
\begin{align*}
& \sum_{\vec{m}} \frac{q^{m_{1}\left(m_{1}-m_{2}\right)+m_{2}\left(m_{2}-m_{3}\right)+\cdots+m_{k-1}\left(m_{k-1}-m_{k}\right)+m_{k}^{2}}}{(q ; q)_{m_{1}}(q ; q)_{m_{1}-m_{2}} \ldots(q ; q)_{m_{k-1}}(q ; q)_{m_{k-1}-m_{k}}(q ; q)_{m_{k}}^{2}} \\
&=\frac{1}{(q ; q)_{\infty}^{k}} \tag{14}
\end{align*}
$$

## Research Areas - Vanishing Coefficients I

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## Vanishing Coefficients (McL. and Zimmer 2022)

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Let $p$ be a prime of the form $p=24 t+11$, so that $p=2 U^{2}+3 V^{2}$ for positive integers $U$ and $V$, and $2 \mid U$.


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$$

(i) Let $v$ and $w(0 \leq v, w \leq p-1)$ be defined by

$$
\begin{aligned}
& v \equiv-x V^{-1} \quad(\bmod p), \\
& w \equiv \frac{j+\chi p+3}{2} \quad(\bmod p), \text { where } \chi= \begin{cases}0, & j \text { is odd }, \\
1, & j \text { is even. }\end{cases}
\end{aligned}
$$



## Research Areas - Vanishing Coefficients II

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Let $b$ be any integer and let the sequence $\left\{r_{n}\right\}$ be defined by

$$
\begin{equation*}
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If $y$ is even, then $r_{p n+v b^{2}+w b}=0$ for all integers $n$ and any integer $b$.

If $y$ is odd, then $r_{p n+v b^{2}+w b}=0$ for all integers $n$ and any even integer $b$.


## Research Areas - Vanishing Coefficients III

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## Example.

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Next, $j=2 x U-y V=2(7)(4)-(-15)(3)=101$.


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Since $j$ is odd, $w=(j+3) / 2=52$.
Since $V^{-1}(\bmod 59)=20$, then
$-x V^{-1}=-(7)(20) \equiv 37(\bmod 59)$, so $v=37$.


## Research Areas - Vanishing Coefficients IV

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Hence, by the theorem, if the sequence $\left\{r_{n}\right\}$ is defined by

$$
\left(q^{b}, q^{59-b} ; q^{59}\right)_{\infty}^{3}\left(q^{101 b}, q^{118-101 b} ; q^{118}\right)_{\infty}=\sum_{n=0}^{\infty} r_{n} q^{n}
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Upon turning to part (ii), $y=-15$ (odd) and $U=4$,


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then $r_{59 n+37 b^{2}+52 b}=0$, for all integers $n$ and $b$.

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-y(2 U)^{-1}=15(8)^{-1} \equiv 24 \quad(\bmod 59)
$$

and $r_{59 n+24 b^{2}+52 b}=0$, for all integers $n$ and all even integers $b$.


## Interlude: $q f_{1}^{24}$ and the Ramanujan $\tau$ Function

Interlude: $q f_{1}^{24}$ and the Ramanujan $\tau$ Function


## Interlude - The Importance of Modular Forms

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"There are five elementary arithmetical operations: addition, subtraction, multiplication, division, and ...

## Interlude - The Importance of Modular Forms


"There are five elementary arithmetical operations: addition, subtraction, multiplication, division, and ... modular forms." - Martin Eichler.


## Srinivasa Ramanujan Aiyangar



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Srinivasa Ramanujan Aiyangar
22 December 1887-26 April 1920 (aged 32)

## The Ramanujan $\tau$ Function

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q \prod_{m=1}^{\infty}\left(1-q^{m}\right)^{24}=: \sum_{n=1}^{\infty} \tau(n) q^{n}=q-24 q^{2}+252 q^{3}
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For example, with $p=2$ and $r=3$,
$\tau(2) \tau\left(2^{3}\right)-2^{11} \tau\left(2^{2}\right)=(-24) 84480-2^{11}(-1472)$
$=987136=\tau\left(2^{4}\right)$.


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and then (2) implies each $\tau\left(p_{i}^{k_{i}}\right)$ is a polynomial in $\tau\left(p_{i}\right)$.
Conjecture: $\tau(n) \neq 0$ for any positive integer $n$ (D.H. Lehmer, 194


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Let $p \nmid N$ be a prime, then the following recurrence formula holds

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As with $\tau\left(p^{n+1}\right)$, the recurrence relation (18) implies that $a_{p^{n+1}}$ is a polynomial in $a_{p}$.
It was trying to determine these polynomials that led to results presented later in this talk.


## Connection to the work on Vanishing Coefficients

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While trying to prove the (possibly false) reverse direction, the speaker was led to the result described in the next few slides.


## Connection to Chebyshev polynomials of the Second Kind

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Recall the Chebyshev polynomials of the second kind, $\left\{U_{n}(x)\right\}$, defined by $U_{0}(x)=1, U_{1}(x)=2 x$, and the recursive formula

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\begin{equation*}
U_{n+1}(x)=2 x U_{n}(x)-U_{n-1}(x) \tag{20}
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## Chebyshev polynomials of the Second Kind II

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$$
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U_{1}(x) & =2 x \\
U_{2}(x) & =4 x^{2}-1 \\
U_{3}(x) & =8 x^{3}-4 x \\
U_{4}(x) & =16 x^{4}-12 x^{2}+1 \\
U_{5}(x) & =32 x^{5}-32 x^{3}+6 x \\
U_{6}(x) & =64 x^{6}-80 x^{4}+24 x^{2}-1 \\
U_{7}(x) & =128 x^{7}-192 x^{5}+80 x^{3}-8 x \\
U_{8}(x) & =256 x^{8}-448 x^{6}+240 x^{4}-40 x^{2}+1 \\
U_{9}(x) & =512 x^{9}-1024 x^{7}+672 x^{5}-160 x^{3}+10 x \\
U_{10}(x) & =1024 x^{10}-2304 x^{8}+1792 x^{6}-560 x^{4}+60 x^{2}-1
\end{aligned}
$$



## A Formula for $a_{p}^{n}$ and Chebyshev Polynomials of the

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Let $f(q)=q+\sum_{n=2}^{\infty} a_{n} q^{n}$ be a normalized Hecke eigenform of weight $k$, level $N$, and Nebentypus $\chi$. Let $p \nmid N$ be a prime, so that

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Then, after fixing a value for $\sqrt{\chi(p)}$,

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\begin{equation*}
a_{p^{n}}=\left(-p^{(k-1) / 2} \sqrt{\chi(p)}\right)^{n} U_{n}\left(\frac{-a_{p}}{2 p^{(k-1) / 2} \sqrt{\chi(p)}}\right) . \tag{22}
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Recall: $a_{p^{n+1}}=a_{p^{n}} a_{p}-\chi(p) p^{k-1} a_{p^{n-1}}$ and $a_{1}=1$.

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ChebyshevU[18, $\sqrt{x} / 2$ ]
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(2) Known results about Chebyshev polynomials of the second kind can now be used to derive various identities for terms in the sequence $\left\{a_{p^{n}}\right\}$, where $p$ is a prime.


## Interlude: Some Useful Online Mathematical Resources



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## Properties of Chebyshev polynomials of the second kind

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## Properties of Chebyshev polynomials of the second kind I



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U_{m-1}(x)+U_{m+1}(x)+U_{m+3}(x)+\cdots+U_{m+2 n-1}(x)=U_{n}(x) U_{m+n-1}(x) \tag{32}
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\begin{equation*}
\sum_{n=0}^{\infty} U_{n}(x) \frac{t^{n}}{n!}=e^{t \times}\left(\frac{x \sin \left(t \sqrt{1-x^{2}}\right)}{\sqrt{1-x^{2}}}+\cos \left(t \sqrt{1-x^{2}}\right)\right) \tag{34}
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\begin{equation*}
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Define

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\begin{aligned}
& F_{ \pm}=x y \pm \sqrt{\left(1-x^{2}\right)\left(1-y^{2}\right)} \\
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Then

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\sum_{n=0}^{\infty} U_{n}(x) U_{n}(y) \frac{t^{n+1}}{(n+1)!}=\frac{e^{t F_{+}} \cos \left(t \Phi_{-}\right)-e^{t F_{-}} \cos \left(t \Phi_{+}\right)}{2 \sqrt{1-x^{2}} \sqrt{1-y^{2}}}
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\sum_{n=0}^{\infty} U_{n}(x) U_{n}(y) t^{n} & =\frac{1-t^{2}}{\left(1-t^{2}\right)^{2}-4 t(y-t x)(x-t y)}
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## Applications to the Fourier Coefficients of Hecke Eigenforms

Applications to the Fourier Coefficients of Hecke Eigenforms


Application of identities for Chebyshev polynomials of the second kind I

## Application of identities for Chebyshev polynomials of the second kind I

Let $f(q)=q+\sum_{n=2}^{\infty} a_{n} q^{n}$ be a normalized Hecke eigenform of weight $k$, level $N$, and Nebentypus $\chi$.


## Application of identities for Chebyshev polynomials of the second kind I

Let $f(q)=q+\sum_{n=2}^{\infty} a_{n} q^{n}$ be a normalized Hecke eigenform of weight $k$, level $N$, and Nebentypus $\chi$. Let $p \nmid N$ be a prime.

## Application of identities for Chebyshev polynomials of the

 second kind ILet $f(q)=q+\sum_{n=2}^{\infty} a_{n} q^{n}$ be a normalized Hecke eigenform of weight $k$, level $N$, and Nebentypus $\chi$. Let $p \nmid N$ be a prime. .
The identities in the previous section are used in conjunction with the identity

$$
\begin{equation*}
a_{p^{n}}=\left(-p^{(k-1) / 2} \sqrt{\chi(p)}\right)^{n} U_{n}\left(\frac{-a_{p}}{2 p^{(k-1) / 2} \sqrt{\chi(p)}}\right) \tag{39}
\end{equation*}
$$

to derive identities for the members of the sequence $\left\{a_{p^{n}}\right\}$.


## Formal Derivation of the Product Form of the L-Function

From

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\begin{equation*}
\sum_{n=0}^{\infty} \frac{a_{p^{n}}}{p^{s n}}=\frac{1}{1-a_{p} p^{-s}+\chi(p) p^{-2 s} p^{k-1}} \tag{41}
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From this, the multiplicative property, $a_{m} a_{n}=a_{m n}$ when $\operatorname{gcd}(m, n)=1$,

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From

$$
\begin{equation*}
\sum_{n=0}^{\infty} U_{n}(x) t^{n}=\frac{1}{1-2 t x+t^{2}} \tag{40}
\end{equation*}
$$

one gets

$$
\begin{equation*}
\sum_{n=0}^{\infty} \frac{a_{p^{n}}}{p^{s n}}=\frac{1}{1-a_{p} p^{-s}+\chi(p) p^{-2 s} p^{k-1}} \tag{41}
\end{equation*}
$$

From this, the multiplicative property, $a_{m} a_{n}=a_{m n}$ when $\operatorname{gcd}(m, n)=1$, gives that

$$
\begin{equation*}
L(f, s):=\sum_{n=1}^{\infty} \frac{a_{n}}{n^{s}}=\prod_{p} \sum_{n=0}^{\infty} \frac{a_{p^{n}}}{p^{s n}}=\prod_{p} \frac{1}{1-a_{p} p^{-s}+\chi(p) p^{-2 s} p^{k-1}} \tag{42}
\end{equation*}
$$

## An L-function for the sequence $\left\{a_{n}^{2}\right\}$

From

$$
\begin{equation*}
\sum_{n=0}^{\infty} U_{n}^{2}(x) t^{n}=\frac{(t+1)}{(1-t)\left((t+1)^{2}-4 t x^{2}\right)} \tag{43}
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\sum_{n=0}^{\infty} \frac{a_{p^{n}}^{2}}{p^{s n}}=\frac{1+\chi(p) p^{k-s-1}}{\left(1-\chi(p) p^{k-s-1}\right)\left(\left(1+\chi(p) p^{k-s-1}\right)^{2}-a_{p}^{2} p^{-s}\right)}
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$$

Then using the multiplicity property once again,one gets that

$$
L_{2}(f, s):=\sum_{n=1}^{\infty} \frac{a_{n}^{2}}{n^{s}}=\prod_{p} \frac{1+\chi(p) p^{k-s-1}}{\left(1-\chi(p) p^{k-s-1}\right)\left(\left(1+\chi(p) p^{k-s-1}\right)^{2}-a_{p}^{2} p^{-s}\right)}
$$

For convergence we may take $\operatorname{Re}(s)>k$.

## Ramanujan $\tau$-function, Example I

## Example

For any prime $p$ and any complex $s$ with $\operatorname{Re}(s)>12$,

$$
\begin{equation*}
\sum_{n=0}^{\infty} \frac{\tau^{2}\left(p^{n}\right)}{p^{s n}}=\frac{1+p^{11-s}}{\left(1-p^{11-s}\right)\left(\left(1+p^{11-s}\right)^{2}-\tau^{2}(p) p^{-s}\right)} \tag{44}
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## Exponential Generating Functions of the sequence $a_{p^{n}}$

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\begin{align*}
& \sum_{n=0}^{\infty} \frac{a_{p^{n}} t^{n}}{n!}=\exp \left(\frac{a_{p} t}{2}\right)\left(\cos \left(\frac{1}{2} t \sqrt{4 p^{k-1} \chi(p)-a_{p}^{2}}\right)\right. \\
&\left.+\frac{a_{p} \sin \left(\frac{1}{2} t \sqrt{4 p^{k-1} \chi(p)-a_{p}^{2}}\right)}{\sqrt{4 p^{k-1} \chi(p)-a_{p}^{2}}}\right) \tag{45}
\end{align*}
$$

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& \sum_{n=0}^{\infty} \frac{a_{p^{n}} t^{n+1}}{(n+1)!}=\exp \left(\frac{a_{p} t}{2}\right) \frac{2 \sin \left(\frac{1}{2} t \sqrt{4 p^{k-1} \chi(p)-a_{p}^{2}}\right)}{\sqrt{4 p^{k-1} \chi(p)-a_{p}^{2}}} \tag{46}
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Ramanujan $\tau$-function, Example II

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$$

$$
\left.+\cos \left(\frac{1}{2} t \sqrt{4 p^{11}-\tau(p)^{2}}\right)\right)
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& \left.+\cos \left(\frac{1}{2} t \sqrt{4 p^{11}-\tau(p)^{2}}\right)\right) \\
& \sum_{n=0}^{\infty} \frac{\tau\left(p^{n}\right) t^{n+1}}{(n+1)!}=\frac{2 e^{\frac{t \tau(p)}{2}} \sin \left(\frac{1}{2} t \sqrt{4 p^{11}-\tau(p)^{2}}\right)}{\sqrt{4 p^{11}-\tau(p)^{2}}}
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$$

## Identities from the Bivariate Generating Functions I

From the bivariate generating functions at (37) and (38):

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Let $p_{1}$ and $p_{2}$ be distinct primes and define

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\begin{aligned}
& F_{ \pm}=a_{p_{1}} a_{p_{2}} \pm \sqrt{4 p_{1}^{k-1} \chi\left(p_{1}\right)-a_{p_{1}}^{2}} \sqrt{4 p_{2}^{k-1} \chi\left(p_{2}\right)-a_{p_{2}}^{2}}, \\
& \Phi_{ \pm}=a_{p_{1}} \sqrt{4 p_{2}^{k-1} \chi\left(p_{2}\right)-a_{p_{2}}^{2}} \pm a_{p_{2}} \sqrt{4 p_{1}^{k-1} \chi\left(p_{1}\right)-a_{p_{1}}^{2}} .
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\end{aligned}
$$

Then for any $t \in \mathbb{C}$,

$$
\begin{equation*}
\sum_{n=0}^{\infty} a_{p_{1}^{n}} a_{p_{2}^{n}} \frac{t^{n+1}}{(n+1)!}=2 \frac{e^{t / 4 F_{+}} \cos \left(t / 4 \Phi_{-}\right)-e^{t / 4 F_{-}} \cos \left(t / 4 \Phi_{+}\right)}{\sqrt{4 p_{1}^{k-1} \chi\left(p_{1}\right)-a_{p_{1}}^{2}} \sqrt{4 p_{2}^{k-1} \chi\left(p_{2}\right)-a_{p_{2}}^{2}}} . \tag{47}
\end{equation*}
$$

## Identities from the Bivariate Generating Functions II

## Theorem (continued)

For any $t \in \mathbb{C}$ satisfying $|t|<\left(p_{1} p_{2}\right)^{-k / 2}$,

## Identities from the Bivariate Generating Functions II

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For any $t \in \mathbb{C}$ satisfying $|t|<\left(p_{1} p_{2}\right)^{-k / 2}$,

$$
\sum_{n=0}^{\infty} a_{p_{1}^{n}} a_{p_{2}^{n}} t^{n}
$$

$$
=\frac{1-t^{2} p_{1}^{k-1} p_{2}^{k-1} \chi\left(p_{1}\right) \chi\left(p_{2}\right)}{\left(1-t^{2} p_{1}^{k-1} p_{2}^{k-1} \chi\left(p_{1}\right) \chi\left(p_{2}\right)\right)^{2}} .
$$

## Ramanujan $\tau$-function, Example III

## Example

Let $p_{1}$ and $p_{2}$ be primes (distinct or otherwise) and define

$$
\begin{aligned}
& F_{ \pm}=\tau\left(p_{1}\right) \tau\left(p_{2}\right) \pm \sqrt{4 p_{1}^{11}-\tau^{2}\left(p_{1}\right)} \sqrt{4 p_{2}^{11}-\tau^{2}\left(p_{2}\right)}, \\
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\end{aligned}
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Then for any $t \in \mathbb{C}$,

$$
\sum_{n=0}^{\infty} \tau\left(p_{1}^{n}\right) \tau\left(p_{2}^{n}\right) \frac{t^{n+1}}{(n+1)!}=2 \frac{e^{t / 4 F_{+}} \cos \left(t / 4 \Phi_{-}\right)-e^{t / 4 F_{-}} \cos \left(t / 4 \Phi_{+}\right)}{\sqrt{4 p_{1}^{11}-\tau^{2}\left(p_{1}\right)} \sqrt{4 p_{2}^{11}-\tau^{2}\left(p_{2}\right)}}
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## Ramanujan $\tau$-function, Example III Continued

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$$
\begin{aligned}
& \sum_{n=0}^{\infty} \tau\left(p_{1}^{n}\right) \tau\left(p_{2}^{n}\right) t^{n} \\
& \quad=\frac{1-p_{1}^{11} p_{2}^{11} t^{2}}{\left(1-p_{1}^{11} p_{2}^{11} t^{2}\right)^{2}-t\left(\tau\left(p_{1}\right)-p_{1}^{11} \tau\left(p_{2}\right) t\right)\left(\tau\left(p_{2}\right)-p_{2}^{11} \tau\left(p_{1}\right) t\right)}
\end{aligned}
$$

An Identity Implying a Divisibility Property of the Sequence $a_{p^{n}}$

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$$
\begin{aligned}
& a_{p^{m n-1}}=a_{p^{n-1}} \times \\
& \sum_{j=0}^{\lfloor(m-1) / 2\rfloor}(-1)^{j}\binom{m-1-j}{j}\left(a_{p^{n}}-p^{k-1} \chi(p) a_{p^{n-2}}\right)^{m-1-2 j} p^{(k-1) n j} \chi^{j}(p) .
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\end{align*}
$$

Remark: Note that if the numbers $a_{p^{n}}$ are integers, then (50) implies that if $n+1 \mid m+1$, then $a_{p^{n}} \mid a_{p^{m}}$.

## Ramanujan $\tau$-function, Example IV

## Example

If $m \geq 1$ and $n \geq 2$ are integers, then

$$
\begin{aligned}
& \tau\left(p^{m n-1}\right)=\tau\left(p^{n-1}\right) \times \\
& \quad \sum_{j=0}^{\lfloor(m-1) / 2\rfloor}(-1)^{j}\binom{m-1-j}{j}\left(\tau\left(p^{n}\right)-p^{11} \tau\left(p^{n-2}\right)\right)^{m-1-2 j} p^{11 n j}
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If $m$ and $n$ are positive integers such that $n+1 \mid m+1$,

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If $m$ and $n$ are positive integers such that $n+1 \mid m+1$, then

$$
\tau\left(p^{n}\right) \mid \tau\left(p^{m}\right)
$$

For example, taking $m=119$ and considering the divisors of 120 , then for any prime $p$,

$$
\tau\left(p^{n}\right) \mid \tau\left(p^{119}\right) \text { for any } n \in\{1,2,3,4,5,7,9,11,14,19,23,29,39,59\}
$$

## Some Remarks on the Speed of Convergence of some of the Series

## Recall:

## Example

Let $p_{1}$ and $p_{2}$ be primes (distinct or otherwise) and define

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\begin{aligned}
& F_{ \pm}=\tau\left(p_{1}\right) \tau\left(p_{2}\right) \pm \sqrt{4 p_{1}^{11}-\tau^{2}\left(p_{1}\right)} \sqrt{4 p_{2}^{11}-\tau^{2}\left(p_{2}\right)}, \\
& \Phi_{ \pm}=\tau\left(p_{1}\right) \sqrt{4 p_{2}^{11}-\tau^{2}\left(p_{2}\right)} \pm \tau\left(p_{2}\right) \sqrt{4 p_{1}^{11}-\tau^{2}\left(p_{1}\right)}
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\end{aligned}
$$

Then for any $t \in \mathbb{C}$,

$$
\sum_{n=0}^{\infty} \tau\left(p_{1}^{n}\right) \tau\left(p_{2}^{n}\right) \frac{t^{n+1}}{(n+1)!}=2 \frac{e^{t / 4 F_{+}} \cos \left(t / 4 \Phi_{-}\right)-e^{t / 4 F_{-}} \cos \left(t / 4 \Phi_{+}\right)}{\sqrt{4 p_{1}^{11}-\tau^{2}\left(p_{1}\right)} \sqrt{4 p_{2}^{11}-\tau^{2}\left(p_{2}\right)}}
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## Speed of Convergence II

One reason this identity is interesting is that, even for small values of the the primes $p_{1}$ and $p_{2}$ and the parameter $t$, the value of the series can be quite large.

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For example, if we write $R\left(p_{1}, p_{2}, t\right)$ to denote the right side of (51) and then set $p_{1}=2, p_{2}=3$ and $t=1 / 10$, the right side of (51) becomes

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$$
\begin{array}{r}
R(2,3,1 / 10)=\frac{1}{72 \sqrt{236929}}\left[e^{\frac{1}{40}(144 \sqrt{236929}-6048)}\right. \\
\cos \left(\frac{1}{40}(-2016 \sqrt{119}-432 \sqrt{1991})\right) \\
\left.-e^{\frac{1}{40}(-6048-144 \sqrt{236929})} \cos \left(\frac{1}{40}(2016 \sqrt{119}-432 \sqrt{1991})\right)\right] \\
 \tag{52}\\
\approx 1.977000812890026 \times 10^{690}
\end{array}
$$

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\approx 1.977000812890026 \times 10^{690}
\end{array}
$$

One might wonder how quickly the series converges to such a large number.

## Speed of Convergence III

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It is possible to get some understanding of the speed of convergence to $R(2,3,1 / 10)$ by considering the graph of $\ln \left|S_{N}\right|$,

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## Speed of Convergence III

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Figure: $\ln \left|S_{N}\right|, 0 \leq N \leq 5800$, for the series at (49)

## Speed of Convergence III

It is possible to get some understanding of the speed of convergence to $R(2,3,1 / 10)$ by considering the graph of $\ln \left|S_{N}\right|$, where $S_{N}$ denotes the $N$-th partial sum of the series on the left side of (49) (with $p_{1}=2, p_{2}=3$ and $t=1 / 10$ ).


Figure: $\ln \left|S_{N}\right|, 0 \leq N \leq 5800$, for the series at (49)

However, the picture of convergence, which appears to show $S_{N}$ getting close to the limiting value $R(2,3,1 / 10)$ once $N$ gets a little above 3000, is somewhat deceptive,

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However, the picture of convergence, which appears to show $S_{N}$ getting close to the limiting value $R(2,3,1 / 10)$ once $N$ gets a little above 3000, is somewhat deceptive, due to the fact that $R(2,3,1 / 10)$ is so large.

## Speed of Convergence IV

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The following table shows the value of $S_{N}-R(2,3,1 / 10)$ for some values of $N \geq 2700$.

## Speed of Convergence IV

The following table shows the value of $S_{N}-R(2,3,1 / 10)$ for some values of $N \geq 2700$.

Table 2: The convergence of $S_{n}$ to $R(2,3,1 / 10)$

| $N$ | $S_{N}-R(2,3,1 / 10)$ | $N$ | $S_{N}-R(2,3,1 / 10)$ |
| :---: | :---: | :---: | :---: |
| 2700 | $-2.06017 \times 10^{756}$ | 4300 | $-2.76543 \times 10^{339}$ |
| 2900 | $2.76208 \times 10^{723}$ | 4500 | $-4.67955 \times 10^{266}$ |
| 3100 | $8.84248 \times 10^{683}$ | 4700 | $2.09614 \times 10^{190}$ |
| 3300 | $-2.04249 \times 10^{638}$ | 4900 | $1.28799 \times 10^{110}$ |
| 3500 | $-3.39702 \times 10^{588}$ | 5100 | $-1.13270 \times 10^{26}$ |
| 3700 | $-6.32613 \times 10^{532}$ | 5300 | $-1.81362 \times 10^{-61}$ |
| 3900 | $8.00337 \times 10^{472}$ | 5500 | $-1.83820 \times 10^{-152}$ |
| 4100 | $2.20553 \times 10^{408}$ | 5700 | $8.45999 \times 10^{-246}$ |

## References

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## Thanks

Thank you for listening/watching.


