On a pair of identities from Ramanujan's lost notebook

James McLaughlin and Andrew V. Sills

Abstract. Using a pair of two variable series-product identities recorded by Ramanujan in the lost notebook as inspiration, we find some new identities of similar type. Each identity immediately implies an infinite family of Rogers-Ramanujan type identities, some of which are well-known identities from the literature.

We also use these identities to derive some general identities for integer partitions.

Mathematics Subject Classification (2000). Primary 11B65; Secondary 05A10, 11P81, 05A17.

Keywords. q-series, Rogers-Ramanujan identities, integer partitions.

1. Introduction

Ramanujan recorded the following identity at the top of a page of his lost notebook [16, p. 33] (cf. [6, p. 99, Entry 5.3.1]):

$$\sum_{n=0}^{\infty} \frac{x^{2n^2}(-ax;x^2)_n(-x/a;x^2)_n}{(x^2;x^2)_{2n}} = \frac{f(ax^3,x^3/a)}{f(-x^2)},$$
(1.1)

where we employ the standard notations for rising q-factorials,

$$(A;q)_{\infty} := (1-A)(1-Aq)(1-Aq^2)\cdots$$
 and $(A;q)_n := \frac{(A;q)_{\infty}}{(Aq^n;q)_{\infty}}$

$$(A_1, A_2, \dots, A_r; q)_n := (A_1; q)_n (A_2; q)_n \cdots (A_r; q)_n$$

and Ramanujan's theta function [6, p. 17, Eq. (1.4.8)] is given by

$$f(a,b) := \sum_{j=-\infty}^{\infty} a^{j(j+1)/2} b^{j(j-1)/2} = (-a, -b, ab; ab)_{\infty}$$
(1.2)

with Ramanujan's abbreviation [6, p. 17, Eq. (1.4.11)]

$$f(-q) := f(-q, -q^2) = (q; q)_{\infty}.$$

A bit further down the same page, Ramanujan recorded [6, p. 103, Entry 5.3.5]

$$\sum_{n=0}^{\infty} \frac{x^{n^2}(-ax;x^2)_n(-x/a;x^2)_n}{(x;x^2)_n(x^4;x^4)_n} = \frac{f(ax^2,x^2/a)}{\psi(-x)},$$
(1.3)

where

$$\psi(q) := f(q, q^3) = \frac{(q^2; q^2)_{\infty}}{(q; q^2)_{\infty}}$$

is another notation frequently used by Ramanujan [6, p. 17, Eq. (1.4.10)].

From an analytic viewpoint, (1.1) and (1.3) are valid for |x| < 1 and $a \neq 0$.

These two identities are noteworthy for several reasons. Firstly, they are summable two variable Rogers-Ramanujan type identities. In contrast, in the standard two variable generalization of the first Rogers-Ramanujan identity,

$$\sum_{n=0}^{\infty} \frac{z^n q^{n^2}}{(q;q)_n} = \frac{1}{(zq;q)_{\infty}} \sum_{n=0}^{\infty} \frac{(-1)^n z^{2n} q^{n(5n-1)/2} (1-zq^{2n})(z;q)_n}{(1-z)(q;q)_n}$$

the right hand side reduces to an infinite product only for special values of z, e.g. z = 1 gives the first Rogers-Ramanujan identity [17, p. 328 (2)],

$$\sum_{n=0}^{\infty} \frac{q^{n^2}}{(q;q)_n} = \frac{1}{(q;q^5)_{\infty}(q^4;q^5)_{\infty}},$$

while z = q gives the second Rogers-Ramanujan identity [17, p. 330 (2)],

$$\sum_{n=0}^{\infty} \frac{q^{n(n+1)}}{(q;q)_n} = \frac{1}{(q^2;q^5)_{\infty}(q^3;q^5)_{\infty}}$$

Secondly, both identities contain an infinite number of Rogers-Ramanujan type identities as special cases, a number of which appear in the literature, as summarized in Tables 1 and 2.

TABLE 1. Special cases of (1.1)

a	x	References
i	\sqrt{q}	Ramanujan [6, Entry 4.2.10]; Slater [19, p. 156, Eq. (48)]
-1	q	Ramanujan [6, p. 102, Entry 5.3.3]
$e^{2\pi i/3}$	q	Ramanujan [6, p. 103, Entry 5.3.4]
q	q	Stanton [20, p. 61]
$-q^{1/2}$	$q^{3/2}$	Bailey [8, p. 422, Eq. (1.6)], Slater [19, p. 156, Eq. (42)]
-q	q^2	Slater [19, p. 157, Eq. (53)]

TABLE 2. Special cases of (1.3)

a	x	References
-1	q	Ramanujan [6, p. 104, Entry 5.3.6], Slater [19, p. 152, Eq. (4)]
$e^{2\pi i/3}$	q	Ramanujan [6, p. 105, Entry 5.3.8]
$e^{\pi i/3}$	q	Ramanujan [6, p. 106, Entry 5.3.9]
$q^{1/2}$	q^2	Gessel-Stanton [12, p. 197, Eq. (7.24)]
-q	q^3	Dyson $[9, p. 9, Eq. (7.5)]$

In [15] a partner to Ramanujan's (1.1) (identity (1.4) below) was found. This motivated us to take another look at (1.1) and (1.3) in the light of this new partner. The results of this reexamination include a new proof of (1.4), a partner to (1.3), another similar general identity, and two families of false theta series identities.

$$\sum_{n=0}^{\infty} \frac{x^{2n(n+1)}(-a;x^2)_{n+1}(-x^2/a;x^2)_n}{(x^2;x^2)_{2n+1}} = \frac{f(a,x^6/a)}{f(-x^2)},$$
(1.4)

$$(1+a)\sum_{n=0}^{\infty} \frac{(-ax, -x/a; x^2)_n x^{n^2+2n}}{(x; x^2)_{n+1} (x^4; x^4)_n} = \frac{f(a, x^4/a)}{\psi(-x)},$$
(1.5)

$$\sum_{n=0}^{\infty} \frac{x^{n(n+1)/2}(-x;x)_n(-a;x)_{n+1}(-x/a;x)_n}{(x;x)_{2n+1}} = \frac{f(a,x^2/a)}{\varphi(-x)},\tag{1.6}$$

$$\sum_{n=0}^{\infty} \frac{(-1)^n x^{n(n+1)/2} (-a;x)_{n+1} (-x/a;x)_n}{(x^{n+1};x)_{n+1}} = \sum_{n=0}^{\infty} (-1)^n x^{n^2+n} \left(a^{-n} + a^{n+1}\right),$$
(1.7)

$$1 + (a-1)\sum_{n=1}^{\infty} \frac{(-ax;x)_{n-1}(-1/a,x;x)_n}{(x;x)_{2n}} x^{n(n+1)/2} (-1)^n$$
(1.8)

$$=\sum_{n=0}^{\infty}x^{n^{2}}(-1)^{n}(a^{n}+x^{2n+1}a^{-n-1})$$

where

$$\varphi(-q) := f(-q, -q) = \frac{(q;q)_{\infty}}{(-q;q)_{\infty}}$$

is yet another notation used by Ramanujan [6, p. 17, Eq. (1.4.9)].

Remark 1.1. Ramanujan's identity (1.3) and its partner (1.5) follow from Andrews' q-analog of Bailey's ${}_2F_1(1/2)$ sum [1, p. 526, Eq. (1.9)]:

$$\sum_{n=0}^{\infty} \frac{(b;q)_n (q/b;q)_n c^n q^{n(n-1)/2}}{(c;q)_n (q^2;q^2)_n} = \frac{(cq/b;q^2)_\infty (bc;q^2)_\infty}{(c;q)_\infty}.$$
 (1.9)

However, each of these two identities can be regarded as the first member in an infinite family of identities, and it does not appear that the more general identities

McLaughlin and Sills

can be similarly extended. For example, the second identity in the sequence whose first member is (1.5) is the following (for consistency with other identities, here we replace a with z and x with q):

$$\begin{split} (1+q^4z^2)\sum_{n=0}^{\infty} \frac{(-q^4;q^8)_{n+1}\left(-z^2q^8,-1/z^2;q^8\right)_n q^{4n^2+8n}}{(q^8;q^8)_{2n+1}} \\ &+ z(1+q^{12}z^2)\sum_{n=0}^{\infty} \frac{(-q^4;q^8)_{n+1}\left(-z^2q^{16},-1/q^8z^2;q^8\right)_n q^{4n^2+8n}}{(q^8;q^8)_{2n+1}} \\ &= (-z,-q^4/z,q^4;q^4)_{\infty} \frac{(-q^4;q^8)_{\infty}}{(q^8;q^8)_{\infty}} \end{split}$$

We show that each of (1.1), (1.3), (1.4), (1.5), and (1.6) may be embedded in an infinite sequence of identities, where each of the stated identities is the first member in the respective sequence of identities. See Section 4 for more on these identities.

Also, each of (1.1)–(1.5) gives rise to a quite general family of partition identities, which does not appear to be true for the more general identity. See Section 5 for more details.

2. Proofs of the identities

As is often the case, once the existence of an identity of Rogers-Ramanujan type is discovered, it is not hard to prove it using standard techniques.

Recall that $(\alpha_n(z,q),\beta_n(z,q))$ is called a *Bailey pair relative to z* if

$$\beta_n(z,q) = \sum_{r=0}^n \frac{\alpha_r(z,q)}{(q;q)_{n-r}(zq;q)_{n+r}}$$

A well-established method of proof for Rogers-Ramanujan type identities is insertion of a Bailey pair into an appropriate limiting case of Bailey's lemma. Since this method is well documented in the literature, we refer the reader to, e.g., [2,Chapter 3] or $[13, \S1.2, p. 3ff]$, for the details.

Lemma 2.1 (Andrews-Berndt). (α_n, β_n) form a Bailey pair relative to q where

$$\alpha_n(q,q) = (a^{-n} + a^{n+1})q^{n(n+1)/2}$$

and

$$\beta_n(q,q) = \frac{(-a;q)_{n+1}(-q/a;q)_n}{(q^2;q)_{2n}}.$$

Proof. See [6, pp. 98–99].

Lemma 2.2. (α_n, β_n) form a Bailey pair relative to 1 where

$$\alpha_n(1,q) = \begin{cases} 1, & \text{if } n = 0\\ a^{-n}q^{n(n-1)/2} + a^nq^{n(n+1)/2}, & \text{if } n > 0 \end{cases}$$

$$\beta_n(1,q) = \frac{(-aq;q)_n(-1/a;q)_n}{(q;q)_{2n}}.$$

Proof. See Lemma 3 in [3].

We now prove (1.4), a partner to Ramanujan's identity at (1.1). We note that a different, less direct proof of this identity was given in [15].

Theorem 2.3. For $a \neq 0$ and |x| < 1,

$$\sum_{n=0}^{\infty} \frac{x^{2n(n+1)}(-a;x^2)_{n+1}(-x^2/a;x^2)_n}{(x^2;x^2)_{2n+1}} = \frac{f(a,x^6/a)}{f(-x^2)}.$$

Proof. Insert the Bailey pair $(\alpha_n(x^2, x^2), \beta_n(x^2, x^2))$ from Lemma 2.1 into Eq. (1.2.8) of [13, p. 5].

Theorem 2.4. For $a \neq 0$ and |x| < 1,

$$(1+a)\sum_{n=0}^{\infty} \frac{(-ax, -x/a; x^2)_n x^{n^2+2n}}{(x; x^2)_{n+1}(x^4; x^4)_n} = \frac{(-a, -x^4/a, x^4; x^4)_\infty}{\psi(-x)}.$$

Proof. Recall that if $(\alpha_n(a,q), \beta_n(a,q))$ is a Bailey pair with respect to a, then the case of the Bailey transform used by Slater [18] states that

$$\sum_{n=0}^{\infty} (y,z;q)_n \left(\frac{aq}{yz}\right)^n \beta_n(a,q)$$
$$= \frac{(aq/y,aq/z;q)_\infty}{(aq,aq/yz;q)_\infty} \sum_{n=0}^{\infty} \frac{(y,z;q)_n}{(aq/y,aq/z;q)_n} \left(\frac{aq}{yz}\right)^n \alpha_n(a,q). \quad (2.1)$$

If we set $y = q\sqrt{a}$ and let $z \to \infty$ in (2.1) (see also (3.14) in [15]), the following identity results:

$$\sum_{n=0}^{\infty} (q\sqrt{a};q)_n \left(-\sqrt{a}\right)^n q^{n(n-1)/2} \beta_n(a,q)$$

= $\frac{(q\sqrt{a};q)_\infty}{(aq;q)_\infty} \sum_{n=0}^{\infty} (1-\sqrt{a}q^n) \left(-\sqrt{a}\right)^n q^{n(n-1)/2} \alpha_n(a,q).$ (2.2)

Next, set a = x, replace q with x and insert the Bailey pair in Lemma (2.1) (with q replaced with x). Replace x with x^2 to get, after some elementary manipulations,

$$\sum_{n=0}^{\infty} \frac{(x;x^2)_{n+1}(-a;x^2)_{n+1}(-x^2/a;x^2)_n(-1)^n x^{n^2}}{(x^2;x^2)_{2n+1}} = \frac{1}{\psi(x)} \sum_{n=0}^{\infty} (1-x^{2n+1})(-1)^n x^{2n^2+n} (a^{-n}+a^{n+1}) = \frac{(ax,x^3/a,x^4;x^4)_{\infty} + a(x/a,x^3a,x^4;x^4)_{\infty}}{\psi(x)} \quad (2.3)$$

The last identity follows after expanding the second sum into four sums, reindexing the two sums containing $(-1)^{n+1}$ by replacing n with n-1, and then applying the Jacobi Triple Product twice.

Next, replace x with -x, a with ax and note that one of the resulting products on the right side of (2.3) is now identical to the product side of (1.3). Subtract (1.3) from (2.3) and the result follows.

We next give a proof of (1.6).

Theorem 2.5. For $a \neq 0$ and |x| < 1,

$$\sum_{n=0}^{\infty} \frac{x^{n(n+1)/2}(-x;x)_n(-a;x)_{n+1}(-x/a;x)_n}{(x;x)_{2n+1}} = \frac{f(a,x^2/a)}{\varphi(-x)}.$$

Proof. Insert the Bailey pair $(\alpha_n(x,x), \beta_n(x,x))$ of Lemma 2.1 into Eq. (S2BL) of [13, p. 5].

Remark 2.6. The preceding result also follows from Andrews' *q*-analog of Gauss's ${}_{2}F_{1}(1/2)$ sum [1, p. 526, Eq. (1.8)]:

$$\sum_{n=0}^{\infty} \frac{(a,b;q)_n q^{n(n+1)/2}}{(q;q)_n (abq;q^2)_n} = \frac{(aq,bq;q^2)_{\infty}}{(q,abq;q^2)_{\infty}}.$$
(2.4)

We next prove the family of false theta series identities stated at (1.7). This family of identities appears to be new.

Theorem 2.7. For $a \neq 0$ and |x| < 1,

$$\sum_{n=0}^{\infty} \frac{(-1)^n x^{n(n+1)/2} (-a;x)_{n+1} (-x/a;x)_n}{(x^{n+1};x)_{n+1}} = \sum_{n=0}^{\infty} (-1)^n x^{n^2+n} \left(a^{-n} + a^{n+1}\right)$$

Proof. Insert the Bailey pair $(\alpha_n(x,x), \beta_n(x,x))$ of Lemma 2.1 into Eq. (FBL) of [13, p. 5].

Finally, we give a proof of the second family of false theta series identities stated at (1.8). As with the family in the previous theorem, this family also appears to be new.

Theorem 2.8. For $a \neq 0$ and |x| < 1,

$$1 + (a-1)\sum_{n=1}^{\infty} \frac{(-ax;x)_{n-1}(-1/a,x;x)_n x^{n(n+1)/2}(-1)^n}{(x;x)_{2n}} = \sum_{n=0}^{\infty} x^{n^2} (-1)^n (a^n + x^{2n+1}a^{-n-1}).$$

Proof. Set a = 1 in (2.2), replace q with x and insert the Bailey pair in Lemma (2.2) (with q replaced with x) to get

$$\sum_{n=0}^{\infty} \frac{(x, -ax, -1/a; x)_n (-1)^n x^{n(n-1)/2}}{(x; x)_{2n}} = \sum_{n=1}^{\infty} (1 - x^n) (-1)^n (x^{n^2 - n} a^{-n} + x^{n^2} a^n).$$

Replace a with a/x and re-index one of the resulting sums to get

$$\sum_{n=0}^{\infty} \frac{(x, -a, -x/a; x)_n (-1)^n x^{n(n-1)/2}}{(x; x)_{2n}} = 1 + \sum_{n=1}^{\infty} x^{n^2} (-1)^n (a^{-n} - a^n) - \sum_{n=0}^{\infty} x^{n^2 + n} (-1)^n (a^{-n} + a^{n+1}). \quad (2.5)$$

Upon noting that the second sum is the right side of (1.7), re-index the left side of (1.7) by separating off the n = 0 term and replacing n with n+1, add the resulting series to (2.5) and simplify, re-index the resulting sum by replacing n with n-1and the result follows after some further simple manipulations.

3. Special Cases

,

Like Ramanujan's identities (1.1) and (1.3), our identities generalize a number of identities from the literature.

Identity (1.6) with $x = q^2$ and a = q yields Slater [19, p. 153, Eq. (11)]. Identity (1.7) with $x = q^2$ and a = q yields McLaughlin et al. [14, Eq. (2.10)].

4. Some Summation Formulae deriving from the Jacobi Triple **Product Identity and the Quintuple Product Identity**

We will make use of the following result, which is an immediate consequence of the Jacobi Triple Product identity.

Lemma 4.1. Let m be a positive integer. For $z \in \mathbb{C}$, $z \neq 0$, and |q| < 1,

$$(-z, -q/z, q; q)_{\infty} = \sum_{r=0}^{m-1} \left(-q^{m^2/2} z^m q^{m(r-1/2)}, -\frac{q^{m^2/2}}{z^m q^{m(r-1/2)}}, q^{m^2}; q^{m^2} \right)_{\infty} q^{r(r-1)/2} z^r.$$
(4.1)

TABLE 3. Special cases of (1.4)

a	x	References
-1	\sqrt{q}	Ramanujan [6, p. 87, Entry 4.2.12], Bailey [7, p. 72, Eq. (10)],
		Slater [19, p. 154, Eq. (22)]
$-e^{2\pi i/3}$	\sqrt{q}	Dyson [8, p. 434, Eq. (B3)], Slater [19, p. 161, Eq. (92)]
-i	\sqrt{q}	Ramanujan [5, p. 254, Eq. (11.3.5)],
		Slater [19, p. 154, Eq. (28)]
q	q	Slater $[19, p. 154, Eq. (27) and p. 161, Eq. (87)]$
-q	$q^{3/2}$	Bailey [8, p. 422, Eq. (1.7)],
		Slater [19, p. 156, Eq. (40–corrected)]
$-q^2$	$q^{3/2}$	Bailey [8, p. 422, Eq. (1.8)],
		Slater [19, p. 156, Eq. (41–corrected)]
q	q^2	Slater [19, p. 157, Eq. (57)]
-q	q^2	Slater [19, p. 157, Eq. (55)]

Proof. Set a = z and b = q/z in (1.2) to get, after considering sums in the m arithmetic progressions mk + r, $0 \le r < m$, that

$$\begin{split} (-z,-q/z,q;q)_{\infty} &= \sum_{k=-\infty}^{\infty} z^k q^{k(k-1)/2} = \sum_{r=0}^{m-1} \sum_{k=-\infty}^{\infty} z^{mk+r} q^{(mk+r)(mk+r-1)/2} \\ &= \sum_{r=0}^{m-1} q^{r(r-1)/2} z^r \sum_{k=-\infty}^{\infty} \left(z^m q^{(m^2-m+2mr)/2} \right)^k q^{m^2(k^2-k)/2}. \end{split}$$

The result follows after applying (1.2) to each of the inner sums.

Theorem 4.2. Let m be a positive integer. For $z, q \in \mathbb{C}$, $z, q \neq 0$, and |q| < 1,

$$\sum_{r=0}^{m-1} q^{3r(r-1)/2} z^r \sum_{n=0}^{\infty} \frac{q^{m^2 n^2} \left(-q^{m^2/2} z^m q^{3m(r-1/2)}, \frac{-q^{m^2/2}}{z^m q^{3m(r-1/2)}}; q^{m^2}\right)_n}{(q^{m^2}; q^{m^2})_{2n}} = \frac{(-z, -q^3/z, q^3; q^3)_\infty}{(q^{m^2}; q^{m^2})_\infty}.$$
 (4.2)

Proof. Replace q with q^3 in (4.1), and then divide both sides of that identity by $(q^{m^2}; q^{m^2})_{\infty}$. Next, use (1.1) to replace each of the products in the inner sum with the basic hypergeometric series given by the left side of (1.1) (replace x with $q^{m^2/2}$ and a with $zq^{3m(r-1/2)}$), and the result follows.

Corollary 4.3. For $z, q \neq 0$ and |q| < 1, there holds

$$\sum_{n=0}^{\infty} \frac{\left(-q^5/z^2, -z^2/q; q^4\right)_n q^{4n^2}}{(q^4; q^4)_{2n}} + z \sum_{n=0}^{\infty} \frac{\left(-q^5 z^2, -1/q z^2; q^4\right)_n q^{4n^2}}{(q^4; q^4)_{2n}} = \frac{\left(-z, -q^3/z, q^3; q^3\right)_{\infty}}{(q^4; q^4)_{\infty}} \quad (4.3)$$

and

$$\sum_{n=0}^{\infty} \frac{\left(-q^9/z^3, -z^3; q^9\right)_n q^{9n^2}}{(q^9; q^9)_{2n}} + z \sum_{n=0}^{\infty} \frac{\left(-q^9 z^3, -1/z^3; q^9\right)_n q^{9n^2}}{(q^9; q^9)_{2n}} + q^3 z^2 \sum_{n=0}^{\infty} \frac{\left(-q^{18} z^3, -1/z^3 q^9; q^9\right)_n q^{9n^2}}{(q^9; q^9)_{2n}} = \frac{\left(-z, -q^3/z, q^3; q^3\right)_{\infty}}{(q^9; q^9)_{\infty}}.$$
 (4.4)

Proof. These are, respectively, the cases m = 2 and m = 3 of Theorem 4.2.

Remark 4.4. The case m = 1 of Theorem 4.2 is of course Ramanujan's identity at (1.1), so Theorem 4.2 may be regarded as embedding Ramanujan's identity in an infinite family of identities.

In a similar manner, (1.4) leads to the following result.

Theorem 4.5. Let m be a positive integer. For $z, q \in \mathbb{C}$, $z, q \neq 0$, and |q| < 1,

$$\begin{split} \sum_{r=0}^{m-1} q^{3r(r-1)/2} z^r \times \\ \sum_{n=0}^{\infty} \frac{q^{m^2(n^2+n)} \left(-q^{3m^2/2} z^m q^{3m(r-1/2)}; q^{m^2}\right)_{n+1} \left(\frac{-1}{q^{m^2/2} z^m q^{3m(r-1/2)}}; q^{m^2}\right)_n}{(q^{m^2}; q^{m^2})_{2n+1}} \\ &= \frac{(-z, -q^3/z, q^3; q^3)_{\infty}}{(q^{m^2}; q^{m^2})_{\infty}}. \quad (4.5) \end{split}$$

Proof. The proof is omitted, since it essentially mirrors that of Theorem 4.2. \Box

Corollary 4.6. For $z, q \neq 0$ and |q| < 1, there holds

$$\sum_{n=0}^{\infty} \frac{\left(-q^3 z^2; q^4\right)_{n+1} \left(-q/z^2; q^4\right)_n q^{4n^2+4n}}{(q^4; q^4)_{2n+1}} + z \sum_{n=0}^{\infty} \frac{\left(-q^9 z^2; q^4\right)_{n+1} \left(-1/q^5 z^2; q^4\right)_n q^{4n^2+4n}}{(q^4; q^4)_{2n+1}} = \frac{\left(-z, -q^3/z, q^3; q^3\right)_{\infty}}{(q^4; q^4)_{\infty}} \quad (4.6)$$

$$\sum_{n=0}^{\infty} \frac{\left(-q^9 z^3; q^9\right)_{n+1} \left(-1/z^3; q^9\right)_n q^{9n^2+9n}}{(q^9; q^9)_{2n+1}} + z \sum_{n=0}^{\infty} \frac{\left(-q^{18} z^3; q^9\right)_{n+1} \left(-1/q^9 z^3; q^9\right)_n q^{9n^2+9n}}{(q^9; q^9)_{2n+1}} + q^3 z^2 \sum_{n=0}^{\infty} \frac{\left(-q^{27} z^3; q^9\right)_{n+1} \left(-1/q^{18} z^3; q^9\right)_n q^{9n^2+9n}}{(q^9; q^9)_{2n+1}} = \frac{\left(-z, -q^3/z, q^3; q^3\right)_{\infty}}{(q^9; q^9)_{\infty}}.$$
(4.7)

Proof. These are, respectively, the cases m = 2 and m = 3 of Theorem 4.5.

Remark 4.7. The case m = 1 gives (1.4) above, so this identity is also the first in an infinite family of identities.

Theorem 4.8. Let m be a positive integer. For $z, q \in \mathbb{C}$, $z, q \neq 0$, and |q| < 1,

$$\sum_{r=0}^{m-1} q^{2r^2} z^r \sum_{n=0}^{\infty} \frac{q^{m^2 n^2} \left(-q^{m^2} z^m q^{4mr}, \frac{-q^{m^2}}{z^m q^{4mr}}; q^{2m^2}\right)_n}{(q^{m^2}; q^{2m^2})_n (q^{4m^2}; q^{4m^2})_n} = (-zq^2, -q^2/z, q^4; q^4)_{\infty} \frac{(-q^{m^2}; q^{2m^2})_{\infty}}{(q^{2m^2}; q^{2m^2})_{\infty}}.$$
 (4.8)

Proof. The proof is similar to the proof of Theorem 4.2. Replace q with q^4 and then z with zq^2 in (4.1), and then multiply both sides of that identity by

$$(-q^{m^2};q^{2m^2})_{\infty}/(q^{2m^2};q^{2m^2})_{\infty}.$$

Then use (1.3) to replace each of the products in the inner sum with the corresponding basic hypergeometric series on the left side of (1.3) (replace x with q^{m^2} and a with zq^{4mr}), and the result follows.

Remark 4.9. Ramanujan's identity at (1.3) is the case m = 1 of the above theorem, placing this identity also in an infinite family of identities.

Corollary 4.10. For $z, q \neq 0$ and |q| < 1, there holds

$$\sum_{n=0}^{\infty} \frac{\left(-q^4/z^2, -z^2 q^4; q^8\right)_n q^{4n^2}}{(q^4; q^8)_n (q^{16}; q^{16})_n} + q^2 z \sum_{n=0}^{\infty} \frac{\left(-1/z^2 q^4, -z^2 q^{12}; q^8\right)_n q^{4n^2}}{(q^4; q^8)_n (q^{16}; q^{16})_n} = (-zq^2, -q^2/z, q^4; q^4)_{\infty} \frac{(-q^4; q^8)_{\infty}}{(q^8; q^8)_{\infty}} \quad (4.9)$$

$$\sum_{n=0}^{\infty} \frac{\left(-q^{9}/z^{3}, -z^{3}q^{9}; q^{18}\right)_{n}q^{9n^{2}}}{(q^{9}; q^{18})_{n}(q^{36}; q^{36})_{n}} + q^{2}z \sum_{n=0}^{\infty} \frac{\left(-1/z^{3}q^{3}, -z^{3}q^{21}; q^{18}\right)_{n}q^{9n^{2}}}{(q^{9}; q^{18})_{n}(q^{36}; q^{36})_{n}} + q^{8}z^{2} \sum_{n=0}^{\infty} \frac{\left(-1/z^{3}q^{15}, -z^{3}q^{33}; q^{18}\right)_{n}q^{9n^{2}}}{(q^{9}; q^{18})_{n}(q^{36}; q^{36})_{n}} = (-zq^{2}, -q^{2}/z, q^{4}; q^{4})_{\infty} \frac{\left(-q^{9}; q^{18}\right)_{\infty}}{(q^{18}; q^{18})_{\infty}}.$$

$$(4.10)$$

Proof. These identities are, respectively, the cases m = 2 and m = 3 of Theorem 4.8.

Theorem 4.11. Let m be a positive integer. For $z, q \in \mathbb{C}$, $z, q \neq 0$, and |q| < 1,

$$\begin{split} \sum_{r=0}^{m-1} q^{r^2 - r} z^r \\ \times \sum_{n=0}^{\infty} \frac{q^{m^2(n^2 + n)/2} \left(-q^{m^2} z^m q^{m(2r-1)}; q^{m^2} \right)_{n+1} \left(-q^{m^2}, \frac{-1}{z^m q^{m(2r-1)}}; q^{m^2} \right)_n}{(q^{m^2}; q^{m^2})_{2n+1}} \\ &= (-z, -q^2/z, q^2; q^2)_{\infty} \frac{(-q^{m^2}; q^{m^2})_{\infty}}{(q^{m^2}; q^{m^2})_{\infty}}. \quad (4.11) \end{split}$$

Proof. Replace q with q^2 in (4.1), multiply both sides of the resulting identity by $(-q^{m^2}; q^{m^2})_{\infty}/(q^{m^2}; q^{m^2})_{\infty}$, and then use (1.6) to replace each of the resulting infinite products with the corresponding series.

Corollary 4.12. For $z, q \neq 0$ and |q| < 1, there holds

$$\sum_{n=0}^{\infty} \frac{\left(-q^2 z^2; q^4\right)_{n+1} \left(-q^4, -q^2/z^2; q^4\right)_n q^{2n^2+2n}}{(q^4; q^4)_{2n+1}} + z \sum_{n=0}^{\infty} \frac{\left(-q^6 z^2; q^4\right)_{n+1} \left(-q^4, -1/q^2 z^2; q^4\right)_n q^{2n^2+2n}}{(q^4; q^4)_{2n+1}} = \frac{\left(-q^4; q^4\right)_{\infty}}{(q^4; q^4)_{\infty}} \left(-z, -q^2/z, q^2; q^2\right)_{\infty} \quad (4.12)$$

$$\sum_{n=0}^{\infty} \frac{\left(-q^{6}z^{2};q^{9}\right)_{n+1}\left(-q^{9},-q^{3}/z^{2};q^{9}\right)_{n}q^{9(n^{2}+n)/2}}{(q^{9};q^{9})_{2n+1}} + z\sum_{n=0}^{\infty} \frac{\left(-q^{12}z^{2};q^{9}\right)_{n+1}\left(-q^{9},-1/q^{3}z^{2};q^{9}\right)_{n}q^{9(n^{2}+n)/2}}{(q^{9};q^{9})_{2n+1}} + q^{2}z^{2}\sum_{n=0}^{\infty} \frac{\left(-q^{18}z^{2};q^{9}\right)_{n+1}\left(-q^{9},-1/q^{9}z^{2};q^{9}\right)_{n}q^{9(n^{2}+n)/2}}{(q^{9};q^{9})_{2n+1}} = \frac{\left(-q^{9};q^{9}\right)_{\infty}}{(q^{9};q^{9})_{\infty}}\left(-z,-q^{2}/z,q^{2};q^{2}\right)_{\infty}.$$
 (4.13)

Proof. These are, respectively, the cases m = 2 and m = 3 of Theorem 4.11.

Theorem 4.13. Let m be a positive integer. For $z, q \in \mathbb{C}$, $z, q \neq 0$, and |q| < 1,

$$\sum_{r=0}^{m-1} q^{2r^2 - 2r} z^r (1 + z^m q^{2m^2 + (4r-2)m}) \times \sum_{n=0}^{\infty} \frac{q^{m^2(n^2 + 2n)} \left(-q^{m^2}; q^{2m^2}\right)_{n+1} \left(-q^{3m^2 + m(4r-2)} z^m, \frac{-1}{q^{m^2 + m(4r-2)} z^m}; q^{2m^2}\right)_n}{(q^{2m^2}; q^{2m^2})_{2n+1}} = (-z, -q^4/z, q^4; q^4)_{\infty} \frac{(-q^{m^2}; q^{2m^2})_{\infty}}{(q^{2m^2}; q^{2m^2})_{\infty}}.$$
 (4.14)

Proof. Replace q with q^4 in (4.1), multiply both sides of the resulting identity by $(-q^{m^2}; q^{2m^2})_{\infty}/(q^{2m^2}; q^{2m^2})_{\infty}$, and then use (1.5) to replace each of the resulting infinite products with the corresponding series.

Corollary 4.14. For $z, q \neq 0$ and |q| < 1, there holds

$$(1+q^{4}z^{2})\sum_{n=0}^{\infty} \frac{(-q^{4};q^{8})_{n+1} \left(-z^{2}q^{8},-1/z^{2};q^{8}\right)_{n} q^{4n^{2}+8n}}{(q^{8};q^{8})_{2n+1}} +z(1+q^{12}z^{2})\sum_{n=0}^{\infty} \frac{(-q^{4};q^{8})_{n+1} \left(-z^{2}q^{16},-1/q^{8}z^{2};q^{8}\right)_{n} q^{4n^{2}+8n}}{(q^{8};q^{8})_{2n+1}} =(-z,-q^{4}/z,q^{4};q^{4})_{\infty} \frac{(-q^{4};q^{8})_{\infty}}{(q^{8};q^{8})_{\infty}} \quad (4.15)$$

$$\begin{aligned} (1+q^{12}z^3)\sum_{n=0}^{\infty}\frac{(-q^9;q^{18})_{n+1}\left(-q^{21}z^3,-1/q^3z^3;q^{18}\right)_nq^{9n^2+18n}}{(q^{18};q^{18})_{2n+1}} \\ &+z(1+q^{24}z^3)\sum_{n=0}^{\infty}\frac{(-q^9;q^{18})_{n+1}\left(-q^{33}z^3,-1/q^{15}z^3;q^{18}\right)_nq^{9n^2+18n}}{(q^{18};q^{18})_{2n+1}} \\ &+q^4z^2(1+q^{36}z^3)\sum_{n=0}^{\infty}\frac{(-q^9;q^{18})_{n+1}\left(-q^{45}z^3,-1/q^{27}z^3;q^{18}\right)_nq^{9n^2+18n}}{(q^{18};q^{18})_{2n+1}} \\ &=(-z,-q^4/z,q^4;q^4)_{\infty}\frac{(-q^9;q^{18})_{\infty}}{(q^{18};q^{18})_{\infty}}. \end{aligned}$$
(4.16)

Proof. These identities are, respectively, the cases m = 2 and m = 3 of Theorem 4.13.

For the next results, we make us of the Quintuple Product Identity (see [11] for a survey of the various proofs of this identity).

$$\sum_{n=-\infty}^{\infty} q^{3n^2/2 + n/2} (z^{3n} - z^{-3n-1}) = (q, zq, 1/z; q)_{\infty} (z^2 q, q/z^2; q^2)_{\infty}, \qquad (4.17)$$

or, alternatively,

$$(-q^{2}z^{3}, -q/z^{3}, q^{3}; q^{3})_{\infty} - z^{-1}(-q^{2}/z^{3}, -qz^{3}, q^{3}; q^{3})_{\infty}$$

= $(q, zq, 1/z; q)_{\infty}(z^{2}q, q/z^{2}; q^{2})_{\infty}.$ (4.18)

Theorem 4.15. If $z \neq 0$ and |q| < 1, then

$$\sum_{n=0}^{\infty} \frac{1 - (z+1/z)q^n}{1 - (z+1/z)} \frac{(-z^3, -1/z^3; q)_n q^{n^2}}{(q; q)_{2n}} = (zq, q/z; q)_{\infty} (qz^2, q/z^2; q^2)_{\infty}.$$
(4.19)

Proof. By setting $x = q^{1/2}$ in (1.1) and then replacing a with, respectively, $q^{1/2}z^3$ and $q^{1/2}/z^3$, we get that

$$\sum_{n=0}^{\infty} \frac{q^{n^2}(-z^3q, -1/z^3; q)_n}{(q;q)_{2n}} = \frac{(-q^2z^3, -q/z^3, q^3; q^3)_{\infty}}{(q;q)_{\infty}}$$
$$z^{-1} \sum_{n=0}^{\infty} \frac{q^{n^2}(-q/z^3, -z^3; q)_n}{(q;q)_{2n}} = z^{-1} \frac{(-q^2/z^3, -qz^3, q^3; q^3)_{\infty}}{(q;q)_{\infty}}.$$

The result follows from (4.18), after subtracting these two identities and slightly rearranging the resulting identity. $\hfill \Box$

Theorem 4.16. If $z \neq 0$ and |q| < 1, then

$$\sum_{n=0}^{\infty} \frac{\left(1 - \left(qz + \frac{1}{z}\right)q^n\right) \left(-q^2 z^3, \frac{-1}{qz^3};q\right)_n q^{n^2 + n}}{(q;q)_{2n+1}} = (zq, 1/z;q)_{\infty} (q^3 z^2, q/z^2;q^2)_{\infty}.$$
(4.20)

Proof. The proof is similar to that of the previous theorem, this time setting $x = q^{1/2}$ in (1.4) and then replacing a with, respectively, $q^2 z^3$ and $q z^3$. The details are omitted.

5. Partition Identities

The analytic identities under consideration in this paper also imply some general partition identities.

Theorem 5.1. Let $k \ge 3$ and r < k/2 be positive integers. Let A(n) count the number of partitions of n with

- distinct parts $\equiv \pm r \pmod{k}$,
- possibly repeating parts $\equiv 0 \pmod{k}$,
- all odd multiples of k from k to the largest occurring odd multiple of k occur at least once,
- the largest occurring even multiple of k (if any) is at most k more than the largest occurring odd multiple of k,
- the largest occurring part $\equiv r \pmod{k}$ is smaller than half the largest odd multiple of k,
- the largest occurring part $\equiv -r \pmod{k}$ is smaller than k/2 plus half the largest odd multiple of k.

Let B(n) count the number of partitions of n with

- distinct parts $\equiv \pm (k+r) \pmod{3k}$,
- possibly repeating parts $\equiv \pm k \pmod{3k}$.

Then

$$A(n) = B(n)$$

for all integers $n \geq 1$.

Proof. Set $x = q^{k/2}$ and $a = q^{r-k/2}$ in (1.1) to get (after some simple manipulation on the product side) the identity

$$\sum_{n=0}^{\infty} \frac{q^{kn^2}(-q^r, -q^{k-r}; q^k)_n}{(q^k; q^k)_{2n}} = \frac{(-q^{k+r}, -q^{2k-r}; q^{3k})_\infty}{(q^k, q^{2k}; q^{3k})_\infty}.$$
(5.1)

If we write the left side as $\sum_{n=0}^{\infty} A(n)q^n$ and the write side as $\sum_{n=0}^{\infty} B(n)q^n$, noting that

$$k + 3k + \dots + (2n - 1)k = kn^2,$$

we get the result.

Theorem 5.2. Let $k \ge 3$ and r < k/2 be positive integers. Let C(n) count the number of partitions of n with

- distinct parts $\equiv k \pm r \pmod{2k}$,
- possibly repeating parts $\equiv 0, \pm k \pmod{4k}$,
- all odd multiples of k from k to the largest occurring odd multiple of k occur at least once,
- the largest occurring multiple of 4k (if any) is at most 2k more than twice the largest occurring odd multiple of k,
- the largest occurring part $\equiv k + r \pmod{2k}$ is smaller than k plus the largest occurring odd multiple of k,
- the largest occurring part $\equiv k r \pmod{2k}$ is smaller than the largest occurring odd multiple of k.

Let D(n) count the number of partitions of n with

- distinct parts $\equiv 2k \pm r \pmod{4k}$,
- possibly repeating parts $\equiv k \pmod{2k}$.

Then

$$C(n) = D(n)$$

for all integers $n \geq 1$.

Proof. Similarly, if we set $x = q^k$ and $a = q^r$ in (1.3), where once again $k \ge 3$ and r < k/2 are positive integers, we get the identity

$$\sum_{n=0}^{\infty} \frac{q^{kn^2}(-q^{k+r}, -q^{k-r}; q^{2k})_n}{(q^k; q^{2k})_n (q^{4k}; q^{4k})_n} = \frac{(-q^{2k+r}, -q^{2k-r}; q^{4k})_\infty}{(q^k; q^{2k})_\infty}.$$
 (5.2)

The result now follows.

Theorem 5.3. Let $k \ge 3$ and r < k/2 be positive integers. Let E(n) count the number of partitions of n with

- distinct parts $\equiv \pm r \pmod{k}$,
- possibly repeating parts $\equiv 0 \pmod{k}$,
- all even multiples of k from 2k to the largest occurring even multiple of k occur at least once,
- the largest occurring odd multiple of k (if any) is at most k more than the largest occurring even multiple of k,
- the largest occurring part $\equiv r \pmod{k}$ is smaller than k/2 plus half the largest even multiple of k,
- the largest occurring part $\equiv -r \pmod{k}$ is smaller than half the largest even multiple of k.

Let F(n) count the number of partitions of n with

- distinct parts $\equiv \pm r \pmod{3k}$,
- possibly repeating parts $\equiv \pm k \pmod{3k}$.

Then

$$E(n) = F(n)$$

for all integers $n \geq 1$.

Proof. This time set $x = q^{k/2}$ and $a = q^r$ in (1.4), where $k \ge 3$ and r < k/2 are positive integers, to get the identity

$$\sum_{n=0}^{\infty} \frac{q^{k(n^2+n)}(-q^r, q^k)_{n+1}(-q^{k-r}; q^k)_n}{(q^k; q^k)_{2n+1}} = \frac{(-q^r, -q^{3k-r}; q^{3k})_{\infty}}{(q^k, q^{2k}; q^{3k})_{\infty}}.$$
 (5.3)

The result once again follows, after noting that

$$2k + 4k + \dots + 2nk = k(n^2 + n).$$

Theorem 5.4. Let $k \ge 3$ and r < k/2 be positive integers. Let G(n) count the number of partitions of n with

- distinct parts $\equiv k \pm r \pmod{2k}$,
- possibly repeating parts $\equiv 0, \pm k \pmod{4k}$,
- all odd multiples of k from k to the largest occurring odd multiple of k occur at least once,
- the largest occurring multiple of 4k (if any) is smaller than twice the largest occurring odd multiple of k,
- all parts $\equiv k + r \pmod{2k}$ are smaller than the largest occurring odd multiple of k,
- all parts $\equiv k r \pmod{2k}$ are smaller than -2k plus the largest occurring odd multiple of k.

Let H(n) count the number of partitions of n with

- distinct parts $\equiv \pm r \pmod{4k}$, with the part r not occurring,
- possibly repeating parts $\equiv k \pmod{2k}$, with the part k occurring at least once. Then

$$G(n) = H(n)$$

for all integers $n \geq 1$.

Proof. This time cancel the 1 + a factor on both sides of (1.5), set $x = q^k$ and $a = q^r$, where once again $k \ge 3$ and r < k/2 are positive integers. Multiply both sides of the resulting identity by q^k to get

$$\sum_{n=0}^{\infty} \frac{q^{k(n+1)^2} (-q^{k+r}, -q^{k-r}; q^{2k})_n}{(q^k; q^{2k})_{n+1} (q^{4k}; q^{4k})_n} = \frac{q^k (-q^{4k+r}, -q^{4k-r}; q^{4k})_\infty}{(q^k; q^{2k})_\infty}.$$
 (5.4)

The result now follows, upon noting that

$$k + 3k + 5k + \dots + (2n+1)k = k(n+1)^2.$$

References

- G. E. Andrews, On the q-analog of Kummer's theorem and applications, Duke Math. J. 40 (1973) 525–528.
- [2] G. E. Andrews, q-series: Their Development and Application in Analysis, Number Theory, Combinatorics, Physics, and Computer Algebra, Conference Board of Mathematical Sciences Regional Conference Series in Mathematics no. 66, AMS, 1986.
- [3] G. E. Andrews, Bailey chains and generalized Lambert series I: Four identities of Ramanujan, Illinois J. Math. 36 (1992), 251-274.
- [4] G.E. Andrews, R. Askey, and R. Roy, Special Functions, Cambridge, 1999.
- [5] G. E. Andrews and B.C. Berndt, Ramanujan's Lost Notebook, Part I, Springer, 2005.
- [6] G. E. Andrews and B.C. Berndt, Ramanujan's Lost Notebook, Part II, Springer, 2009.
- [7] W. N. Bailey, Generalized Hypergeometric Series, Cambridge, 1935.
- [8] W. N. Bailey, Some identities in combinatory analysis, Proc. London Math. Soc. (2) 49 (1947) 421–435.
- [9] W.N. Bailey, Identities of the Rogers-Ramanujan type, Proc. London Math. Soc (2) 50 (1949) 1–10.
- [10] D. Bowman, J. McLaughlin and A.V. Sills, Some more identities of Rogers-Ramanujan type, Ramanujan J. 18 (2009) 307-325.
- [11] S. Cooper, The quintuple product identity, Int. J. Number Theory 2 (2006) 115–161.
- [12] I. Gessel and D. Stanton, Applications of q-Lagrange inversion to basic hypergeometric series, Trans. Amer. Math. Soc. 277 (1983) 173–201.
- [13] J. McLaughlin and A. V. Sills, Rogers-Ramanujan-Slater type identities, Electronic J. Combin. 15 (2008) 59 pp.
- [14] J. McLaughlin, A. V. Sills, and P. Zimmer, Rogers-Ramanujan computer searches, J. Symbolic Comput. 44 (2009) 1068–1078.
- [15] J. McLaughlin, A.V. Sills, and P. Zimmer, Some implications of Chu's $_{10}\psi_{10}$ extension of Bailey's $_{6}\psi_{6}$ summation formula, submitted.
- [16] S. Ramanujan, The Lost Notebook and Other Unpublished Papers, Narosa, 1987.
- [17] L. J. Rogers, Second memoir on the expansion of certain infinite products, Proc. London Math. Soc. 25 (1894) 318–343.
- [18] L. J. Slater, A new proof of Rogers's transformations of infinite series. Proc. London Math. Soc. (2) 53 (1951) 460–475.
- [19] L. J. Slater, Further identities of the Rogers-Ramanujan type, Proc. London Math. Soc. 54 (1952) 147167.
- [20] D. Stanton, *The Bailey-Rogers-Ramanujan group*, q-series with applications to combinatorics, number theory, and physics (Urbana, IL, 2000), 5570, Contemp. Math., 291, Amer. Math. Soc., Providence, RI, 2001.

McLaughlin and Sills

James McLaughlin Department of Mathematics West Chester University West Chester, PA 19383 USA e-mail: jmclaughlin@wcupa.edu

Andrew V. Sills Department of Mathematical Sciences Georgia Southern University Statesboro, GA 30460-8093 USA e-mail: ASills@GeorgiaSouthern.edu